

**Audit Committee**  
**14<sup>th</sup> July 2017**

**Subject: Internal Audit Plan**

**Purpose: Internal Audit Plan 2016/17 & 2017/18 Update**

**Recommendations / key decisions required:**

**To receive the report**

**Reasons:**

Regular progress report to be presented to each Audit Committee meeting

**Relevant scrutiny committee to be consulted:**

Not Applicable

**Exec Board Decision Required**

Not Applicable

**Council Decision Required**

Not Applicable

**EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:- Cllr D Jenkins**

**Directorate:**

Corporate Services

**Name of Head of Service:**

Helen Pugh

**Report Author:**

Helen Pugh

**Designations:**

Interim Head of Audit, Risk &  
Procurement

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# EXECUTIVE SUMMARY

## Audit Committee

### 14<sup>th</sup> July 2017

#### SUBJECT

### INTERNAL AUDIT PLAN UPDATE 2016/17 & 2017/18

#### 1. BRIEF SUMMARY OF PURPOSE OF REPORT.

To provide Members with progress of the Internal Audit Plan. The following Reports are attached:

**REPORT A (i) Internal Audit Plan 2016/17 - Progress Report**

**REPORT A (i) Internal Audit Plan 2017/18 - Progress Report**

**REPORT A(ii) Internal Audit Plan 2016/17 - Recommendations Scoring Matrix**

**REPORT B Summary of Completed Final Reports 2016/17 Relating to Key Financial Systems (*April 2015 to date*)** A Summary of Final Reports for the Key Systems completed during the last Quarter is attached.

1	Treasury Management
2	Investments
3	VAT
4	Creditors
5	Main Accounting
6	Cash Receipting
7	Banking

#### **REPORT C – Priority 1 Recommendations Relating to Reviews of Other Systems and**

**Establishment Audits** This Section includes Reviews completed since April 2016 where Systems have one or more Fundamental Control Weaknesses or involve Reviews which the Chair of Audit Committee and the Audit & Risk Manager have agreed should be brought to the Committee

1	Departmental Contract Management
2	Capital Maintenance
3	Coedcae Area Sport Centre

**DETAILED REPORT ATTACHED ?**

**YES**

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Phil Sexton** **Head of Audit, Risk & Procurement**

Policy, Crime & Disorder and Equalities NONE	Legal NONE	Finance YES	ICT NONE	Risk Management Issues NONE	Staffing Implications NONE	Physical Assets NONE
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### Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **Helen Pugh** **Interim Head of Audit, Risk & Procurement**

1. **Scrutiny Committee** : Not Applicable
2. **Local Member(s)** : Not Applicable
3. **Community / Town Council** : Not Applicable
4. **Relevant Partners** : Not Applicable
5. **Staff Side Representatives and other Organisations** : Not Applicable

### Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

**THESE ARE DETAILED BELOW**

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Strategic Audit Plan 2014-17	AC 28-03-14	Internal Audit Unit