

# AUDIT COMMITTEE

15<sup>TH</sup> DECEMBER 2017

## INTERNAL AUDIT PLAN UPDATE 2017/18

### Recommendations / key decisions required:

To receive the report

### Reasons:

Regular progress report to be presented to each Audit Committee meeting

### Relevant scrutiny committee to be consulted:

Not Applicable

**Exec Board Decision Required** Not Applicable

**Council Decision Required** Not Applicable

### EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:- Cllr D Jenkins

#### Directorate:

Corporate Services

#### Name of Head of Service:

Helen Pugh

#### Report Author:

Helen Pugh

#### Designations:

Head of Revenues and Financial  
Compliance

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# EXECUTIVE SUMMARY

## AUDIT COMMITTEE 15<sup>TH</sup> DECEMBER 2017

### INTERNAL AUDIT PLAN 2017/18

#### 1. BRIEF SUMMARY OF PURPOSE OF REPORT.

To provide Members with progress of the Internal Audit Plan. The following Reports are attached:

**REPORT A (i) Internal Audit Plan 2017/18 – Progress Report**

**REPORT A(ii) Internal Audit Plan 2017/18 – Recommendations Scoring Matrix**

**REPORT B Summary of Completed Final Reports 2017/18 Relating to Key Financial Systems (April 2017 to date)**

A Summary of Final Reports for the Key Systems completed during the last Quarter.

1	NONE TO REPORT
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#### **REPORT C – Priority 1 Recommendations Relating to Reviews of Other Systems and Establishment Audits**

This Section includes Reviews completed since April 2017 where Systems have one or more Fundamental Control Weaknesses or involve Reviews which the Chair of Audit Committee and the Audit & Risk Manager have agreed should be brought to the Committee

1	Llanelli Leisure Centre
2	Departmental Contract Management

DETAILED REPORT ATTACHED?

YES

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Helen Pugh** **Head of Revenues and Financial Compliance**

Policy, Crime & Disorder and Equalities NONE	Legal NONE	Finance YES	ICT NONE	Risk Management Issues NONE	Staffing Implications NONE	Physical Assets NONE
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### Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **Helen Pugh** **Head of Revenues and Financial Compliance**

1. **Scrutiny Committee** : Not Applicable
2. **Local Member(s)** : Not Applicable
3. **Community / Town Council** : Not Applicable
4. **Relevant Partners** : Not Applicable
5. **Staff Side Representatives and other Organisations** : Not Applicable

### Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

**THESE ARE DETAILED BELOW**

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Strategic Audit Plan 2076-20	AC 28-03-16	Internal Audit Unit