

**Audit Committee**  
28<sup>th</sup> September 2018

|  |  |   |
|--|--|---|
| <b>Subject / Purpose:</b><br><br><b>Risk Management and Contingency Planning Strategy 2018-2022</b>  |  |   |
| <b>Recommendations / key decisions required:</b><br>To endorse the draft Strategy  |  |   |
| <b>Reasons:</b> <ul style="list-style-type: none"><li>The draft Strategy provides a vision for risk management and contingency planning across the Council for the next 4 years.</li><li>To allow Audit Committee to comment on the content of the draft Strategy and its aims</li></ul> |  |   |
| <b>Relevant scrutiny committee to be consulted:</b><br>Not Applicable  |  |   |
| <b>Exec Board Decision Required:</b> Not Applicable  |  |   |
| <b>Council Decision Required:</b> Not Applicable   |  |   |
| <b>EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:</b> Cllr D Jenkins   |  |   |
| <b>Directorate:</b><br>Corporate Services<br><br><b>Name of Head of Service:</b><br>Helen Pugh<br><br><b>Report Author:</b><br>Helen Pugh  | <b>Designations:</b><br>Head of Revenues and<br>Financial Compliance | Tel No. 01267 246223<br>E Mail Address:<br><a href="mailto:HLPugh@carmarthenshire.gov.uk">HLPugh@carmarthenshire.gov.uk</a> |

**EXECUTIVE SUMMARY**  
**Audit Committee**  
28<sup>th</sup> September 2018

**SUBJECT**

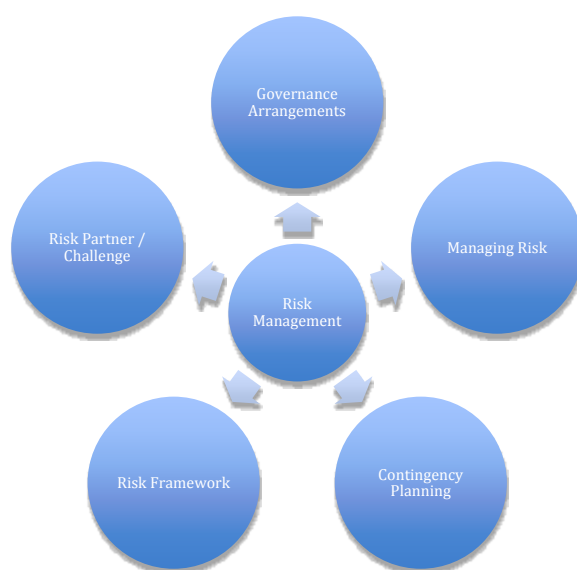
**Risk Management and Contingency Planning Strategy 2018-2022**

The aim of this strategy is to have a framework in place so that risk management and contingency planning play a key role in supporting the delivery of the aims of the Council's Corporate Strategy and Carmarthenshire's Well-Being Plan.

The overarching themes and core values include making better use of resources and a commitment to ensuring that the economic, social and environmental wellbeing of Carmarthenshire is at the heart of our activities. This strategy will outline priorities for 2018-2022 to inform how risk management will contribute towards achieving these aims.

**Our Priorities**

In line with our support for the delivery of the aims of the Council's Corporate Strategy, Carmarthenshire's Well-Being Plan and 'Moving Forward – The next 5 Years' Plan we have identified the following key priorities:



**DETAILED REPORT ATTACHED?**

**YES**

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Helen Pugh** **Head of Revenues and Financial Compliance**

|   |                         |                           |                 |  |                                   |                             |
|---|-------------------------|---------------------------|-----------------|--|-----------------------------------|-----------------------------|
| Policy, Crime & Disorder and Equalities<br>NONE | Legal<br><br><b>YES</b> | Finance<br><br><b>YES</b> | ICT<br><br>NONE | Risk Management Issues<br><br><b>YES</b> | Staffing Implications<br><br>NONE | Physical Assets<br><br>NONE |
|---|-------------------------|---------------------------|-----------------|--|-----------------------------------|-----------------------------|

**2. Legal** – We need to ensure that all Risk Management and Contingency Planning complies with various legislation.

**3. Finance** – The Strategy aims to support departments in delivering greater efficiencies through support through the risk steering group

**5. Risk management issues** – The strategy aims to have a framework in place so that risk management and contingency planning play a key role in supporting the delivery of the aims of the Council's Corporate Strategy and Carmarthenshire's Well-Being Plan

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **Helen Pugh** **Head of Revenues and Financial Compliance**

**1. Scrutiny Committee:** Not Applicable

**2. Local Member(s):** Not Applicable

**3. Community/Town Council:** Not Applicable

**4. Relevant Partners:** Not Applicable

**5. Staff Side Representatives and other Organisations:** Not Applicable

**Section 100D Local Government Act, 1972 – Access to Information**

**List of Background Papers used in the preparation of this report:**

**THESE ARE DETAILED BELOW**

| Title of Document            | File Ref No. | Locations that the papers are available for public inspection |
|------------------------------|--------------|---|
| Strategic Audit Plan 2018-21 | AC 28-03-16  | Internal Audit Unit   |