

# AUDIT COMMITTEE

14<sup>TH</sup> DECEMBER 2018

<b>INTERNAL AUDIT PLAN</b>		
<b>Purpose:</b> Internal Audit Plan 2018/19 Update		
<b>Recommendations / key decisions required:</b> To receive the report.		
<b>Reasons:</b> Regular progress report to be presented to each Audit Committee meeting.		
<b>Relevant scrutiny committee to be consulted:</b> Not Applicable		
<b>Exec Board Decision Required:</b>		Not Applicable
<b>Council Decision Required:</b>		Not Applicable
<b>EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:</b> Cllr D Jenkins (Resources)		
<b>Directorate:</b> Corporate Services  <b>Name of Head of Service:</b> Helen Pugh  <b>Report Author:</b> Helen Pugh	<b>Designations:</b> Head of Revenues and Financial Compliance	Tel No. 01267 246223 E Mail Address: <a href="mailto:HLPugh@carmarthenshire.gov.uk">HLPugh@carmarthenshire.gov.uk</a>

# EXECUTIVE SUMMARY

## AUDIT COMMITTEE 14<sup>TH</sup> DECEMBER 2018

### INTERNAL AUDIT PLAN UPDATE 2018/19

#### BRIEF SUMMARY OF PURPOSE OF REPORT

To provide Members with progress of the Internal Audit Plan. The following Reports are attached:

**REPORT A (i) Internal Audit Plan 2018/19 – Progress Report**

**REPORT A (ii) Internal Audit Plan 2018/19 – Recommendations Scoring Matrix**

**REPORT B Financial Summary of Completed Final Reports Relating to Key Systems (April 2017 to date)**

A Summary of Final Reports for the Key Systems completed during the last Quarter is attached.

1	VAT
2	Council Tax
3	Housing Benefits
4	NNDR

**DETAILED REPORT  
ATTACHED?**

**YES**

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Helen Pugh Head of Revenues and Financial Compliance

Policy, Crime & Disorder and Equalities NONE	Legal  NONE	Finance  <b>YES</b>	ICT  NONE	Risk Management Issues  NONE	Staffing Implications  NONE	Physical Assets  NONE
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### Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Helen Pugh Head of Revenues and Financial Compliance

1. **Scrutiny Committee:** Not Applicable
2. **Local Member(s):** Not Applicable
3. **Community/Town Council:** Not Applicable
4. **Relevant Partners:** Not Applicable
5. **Staff Side Representatives and other Organisations:** Not Applicable

### Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

**THESE ARE DETAILED BELOW**

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Strategic Audit Plan 2017-20	AC 28-03-16	Internal Audit Unit