

## FORWARD WORK PROGRAMME - Audit Committee

## Audit Committee 2018/19

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Dec-18	Mar-19	Jul-19	Sep-19
<b>Appointment of Audit Committee:</b> <ul style="list-style-type: none"> <li>· Chair</li> <li>· Vice Chair</li> </ul>	Corporate Services	Audit Committee			✓	
<b>Annual Audit Report</b>	Corporate Services	Head of Revenues and Financial Compliance			✓	
<b>Forward Work Programme</b>	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
<b>Internal Audit Plan Update</b> <ul style="list-style-type: none"> <li>· To receive the progress report</li> <li>· To receive the Scoring Matrix for finalised reviews</li> </ul>	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
<b>Internal Audit indicative three year plan</b>	Corporate Services	Head of Revenues and Financial Compliance		✓		
<b>Assurance Reviews:</b> <ul style="list-style-type: none"> <li>- Fundamental financial systems</li> <li>- 3* reports</li> </ul>	Corporate Services	Head of Revenues and Financial Compliance	As required			
<b>Progress Report - Delivery of External Audit Recommendations</b>	Regeneration & Policy	Performance Planning Section	✓			
<b>Progress Report - Delivery of Internal Audit Recommendations</b>	Corporate Services	Head of Revenues and Financial Compliance		✓		
<b>Progress reports as requested by Audit Committee</b> <ul style="list-style-type: none"> <li>· Supporting People</li> <li>· Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities</li> <li>· Museums</li> <li>· Leisure Centre</li> </ul>	Communities	Safeguarding & Commissioning Manager	✓			
		Head of Mental Health and Learning Disabilities	✓			
		Head of Leisure			✓	
		Head of Leisure	✓			
<b>Approval of Audit Charter</b>	Corporate Services	Head of Revenues and Financial Compliance	✓			
<b>Approval of Strategies / Rules &amp; Regulations</b>	Corporate Services	Head of Revenues and Financial Compliance	As required			

## FORWARD WORK PROGRAMME - Audit Committee

## Audit Committee 2018/19

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Dec-18	Mar-19	Jul-19	Sep-19
Approval of Anti-Fraud and Corruption Strategy	Corporate Services	Head of Revenues and Financial Compliance		✓		
Receive the Corporate Risk Register	Corporate Services	Head of Revenues and Financial Compliance		✓		✓
Opportunity for Members to discuss Risks		Risk owners				✓
Statement of Accounts including Annual Governance Statement for Carmarthenshire CC & Dyfed Pension Fund <ul style="list-style-type: none"> <li>· To be received</li> <li>· To be approved</li> </ul>	Corporate Services	Head of Financial Services			✓	✓
Burry Port Harbour Accounting Statement <ul style="list-style-type: none"> <li>· To be received</li> <li>· To be approved</li> </ul>	Corporate Services	Head of Financial Services			✓	✓
Audit enquiries to those charged with governance and management for: <ul style="list-style-type: none"> <li>· Carmarthenshire CC</li> <li>· Dyfed Pension Fund</li> </ul>	Corporate Services	Head of Financial Services				✓
Single Tender Action	Corporate Services	Director of Corporate Services	As required			
Minutes for noting: <ul style="list-style-type: none"> <li>· Grants Panel</li> <li>· Corporate Governance Group</li> <li>· Risk Management Steering Group</li> </ul>	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
		Head of Financial Services				

## FORWARD WORK PROGRAMME - Audit Committee

## Audit Committee 2018/19

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Dec-18	Mar-19	Jul-19	Sep-19
<b>Wales Audit Office:</b>	<b>Corporate Services</b>	Wales Audit Office				
· <b>Audit Plan Update</b>			✓	✓	✓	✓
· <b>Annual Improvement Report</b>						✓
· <b>Financial Statements – ISA260 Report presented to those charged with Governance)</b> in relation to the Statement of Accounts for <ul style="list-style-type: none"> <li>o Carmarthenshire CC</li> <li>o Dyfed Pension Fund</li> </ul>					✓	
· <b>Letter of Representation</b> <ul style="list-style-type: none"> <li>o Carmarthenshire CC</li> <li>o Dyfed Pension Fund</li> </ul>					✓	
· <b>Annual Audit Letter:</b> <ul style="list-style-type: none"> <li>o Carmarthenshire CC</li> <li>o Dyfed Pension Fund</li> </ul>			✓			
· <b>Thematic Study: Well-being of Future Generations - Baseline assessment</b>						
· <b>Thematic Study: Well-being of Future Generations -Scrutiny Review</b>						
· <b>Thematic Study: Service User Review</b>						
· <b>LG Improvement Study - Intermediate Care Fund</b>						
· <b>LG Improvement Study - Using Data Effectively</b>						
· <b>LG Improvement Study - How well do public bodies provide services to rural communities</b>						
· <b>Local Project work</b>						
· <b>Auditor General's fees</b> <ul style="list-style-type: none"> <li>o Financial Audits: <ul style="list-style-type: none"> <li>§ Carmarthenshire CC</li> <li>§ Dyfed Pension Fund</li> </ul> </li> <li>o Performance Audit</li> </ul>				✓		