

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Jul-19	Sep-19	Dec-19	Mar-20	
Appointment of Audit Committee: · Chair · Vice Chair	Corporate Services	Audit Committee	✓				
Annual Audit Report	Corporate Services	Head of Revenues and Financial Compliance	✓				
Forward Work Programme	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	
Internal Audit Plan Update · To receive the progress report · To receive the Scoring Matrix for finalised reviews	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	
Internal Audit indicative three year plan	Corporate Services	Head of Revenues and Financial Compliance				✓	
Assurance Reviews: · Fundamental financial systems · 3* reports	Corporate Services	Head of Revenues and Financial Compliance	As required				
Progress Report - Delivery of External Audit Recommendations	Regeneration & Policy	Performance Planning Section			✓		
Progress Report - Delivery of Internal Audit Recommendations	Corporate Services	Head of Revenues and Financial Compliance				✓	
Internal Audit Progress Updates requested by Audit Committee: · Coastal Facilities · Supporting People	Corporate Services	Head of Revenues and Financial Compliance		✓	✓		
Progress reports as requested by Audit Committee · Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities · Museums · Leisure Centre	Communities	Head of Mental Health and Learning Disabilities Head of Leisure Head of Leisure	✓ ✓		✓		
Approval of Audit Charter	Corporate Services	Head of Revenues and Financial Compliance			✓		
Approval of Strategies / Rules & Regulations	Corporate Services	Head of Revenues and Financial Compliance	As required				
Approval of Anti-Fraud and Corruption Strategy	Corporate Services	Head of Revenues and Financial Compliance			✓		
Receive the Corporate Risk Register	Corporate Services	Head of Revenues and Financial Compliance		✓		✓	
Opportunity for Members to discuss Risks	Corporate Services	Risk owners		✓		✓	
Statement of Accounts including Annual Governance Statement for Carmarthenshire CC & Dyfed Pension Fund · To be received · To be approved	Corporate Services	Head of Financial Services	✓	✓			
Burry Port Harbour Accounting Statement · To be received · To be approved	Corporate Services	Head of Financial Services	✓	✓			
Audit enquiries to those charged with governance and management for: · Carmarthenshire CC · Dyfed Pension Fund	Corporate Services	Head of Financial Services		✓			
Single Tender Action	Corporate Services	Director of Corporate Services	As required				
Minutes for noting: · Grants Panel · Corporate Governance Group · Risk Management Steering Group	Corporate Services	Head of Revenues and Financial Compliance Head of Financial Services	✓ ✓	✓ ✓	✓ ✓	✓ ✓	
Wales Audit Office: · Audit Plan Update · Annual Improvement Report	Corporate Services	Wales Audit Office	✓ ✓	✓ ✓	✓ ✓	✓ ✓	
· Financial Statements – ISA260 Report presented to those charged with Governance in relation to the Statement of Accounts for: o Carmarthenshire CC o Dyfed Pension Fund					✓		
· Letter of Representation o Carmarthenshire CC o Dyfed Pension Fund					✓		
· Annual Audit Letter: o Carmarthenshire CC o Dyfed Pension Fund						✓	
· Thematic Study: Well-being of Future Generations - Baseline assessment							
· Thematic Study: Well-being of Future Generations -Scrutiny Review							
· Thematic Study: Service User Review							
· LG Improvement Study - Intermediate Care Fund							
· LG Improvement Study - Using Data Effectively							
· LG Improvement Study - How well do public bodies provide services to rural communities							
· Local Project work							
· Auditor General's fees o Financial Audits: § Carmarthenshire CC § Dyfed Pension Fund o Performance Audit							✓