

**FRIDAY, 19 MARCH 2021**

**TO: ALL MEMBERS OF THE AUDIT COMMITTEE**

I HEREBY SUMMON YOU TO ATTEND A VIRTUAL MEETING OF THE **AUDIT COMMITTEE** WHICH WILL BE HELD AT **2.00 PM** ON **FRIDAY, 26TH MARCH, 2021**, FOR THE TRANSACTION OF THE BUSINESS OUTLINED ON THE ATTACHED AGENDA.

*Wendy Walters*

**CHIEF EXECUTIVE**

<b>Democratic Officer:</b>	<b>Kevin Thomas</b>
<b>Telephone (Direct Line):</b>	<b>01267 224027</b>
<b>E-Mail:</b>	<b><a href="mailto:kjthomas@carmarthenshire.gov.uk">kjthomas@carmarthenshire.gov.uk</a></b>

Wendy Walters Prif Weithredwr, *Chief Executive*,  
Neuadd y Sir, Caerfyrddin. SA31 1JP  
*County Hall, Carmarthen. SA31 1JP*

# **AUDIT COMMITTEE**

**MEMBERSHIP:  
8 COUNCIL MEMBERS AND 1 EXTERNAL VOTING MEMBER**

## **PLAID CYMRU GROUP – 4 MEMBERS**

1. Councillor Kim Broom
2. Councillor Karen Davies
3. Councillor Gareth John
4. Councillor Elwyn Williams

## **LABOUR GROUP – 2 MEMBERS**

1. Councillor Tina Higgins [Chair]
2. Councillor Bill Thomas

## **INDEPENDENT GROUP – 1 MEMBER**

1. Councillor Giles Morgan [Vice-Chair]

## **NEW INDEPENDENT GROUP – 1 MEMBER**

1. Councillor Louvain Roberts

## **EXTERNAL VOTING MEMBER (1)**

**Mrs. Julie James**

# AGENDA

1. APOLOGIES FOR ABSENCE.
2. DECLARATIONS OF PERSONAL INTERESTS.
3. INTERNAL AUDIT PLAN 2020/21 UPDATE 5 - 12
4. INTERNAL AUDIT RECOMMENDATIONS 13 - 16
5. INTERNAL AUDIT PLAN 2021/22 & PLANNED COVERAGE FOR 2021-24 17 - 28
6. AUDIT COMMITTEE FORWARD WORK PROGRAMME 29 - 36
7. CARMARTHENSHIRE COUNTY COUNCIL'S CORPORATE RISK REGISTER 2020/21 37 - 62
8. TO CONSIDER THE FOLLOWING DOCUMENTS PREPARED BY AUDIT WALES:-
  - 8.1 CARMARTHENSHIRE COUNTY COUNCIL ANNUAL AUDIT SUMMARY 2020 63 - 72
  - 8.2 2021 AUDIT PLAN - CARMARTHENSHIRE COUNTY COUNCIL. 73 - 92
  - 8.3 2021 AUDIT PLAN - DYFED PENSION FUND 93 - 106
9. MINUTES OF RELEVANT GROUPS TO THE AUDIT COMMITTEE 107 - 110
  - 9.1 RISK MANAGEMENT STEERING GROUP - 26TH JANUARY 2021 111 - 114
  - 9.2 GRANTS PANEL - 27TH NOVEMBER 2020 115 - 122
10. LOCAL GOVERNMENT AND ELECTIONS (WALES) ACT 2021 AUDIT COMMITTEE CHANGES 123 - 128
11. TO SIGN AS A CORRECT RECORD THE MINUTES OF THE MEETING OF THE AUDIT COMMITTEE HELD ON THE 18TH DECEMBER, 2020. 129 - 132