

MONDAY, 12TH JULY, 2021

**TO: ALL MEMBERS OF THE GOVERNANCE & AUDIT
COMMITTEE**

I HEREBY SUMMON YOU TO ATTEND A VIRTUAL MEETING OF
THE **GOVERNANCE & AUDIT COMMITTEE** WHICH WILL BE
HELD AT **10.00 A.M. ON FRIDAY, 16TH JULY, 2021** FOR THE
TRANSACTION OF THE BUSINESS OUTLINED ON THE
ATTACHED AGENDA.

Wendy Walters

CHIEF EXECUTIVE

Democratic Officer:	Rhian Lloyd
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Wendy Walters Prif Weithredwr, *Chief Executive*,
Neuadd y Sir, Caerfyrddin. SA31 1JP
County Hall, Carmarthen. SA31 1JP

GOVERNANCE AND AUDIT COMMITTEE

MEMBERSHIP:-

8 MEMBERS PLUS ONE EXTERNAL MEMBER WITH VOTING RIGHTS

/ PLAID CYMRU GROUP (4)

- | | | |
|----|------------|----------------|
| 1. | Councillor | Kim Broom |
| 2. | Councillor | Karen Davies |
| 3. | Councillor | Gareth John |
| 4. | Councillor | Elwyn Williams |

LABOUR GROUP (2)

- | | | |
|----|------------|--------------|
| 1. | Councillor | Tina Higgins |
| 2. | Councillor | Bill Thomas |

INDEPENDENT GROUP (1)

- | | | |
|----|------------|--------------|
| 1. | Councillor | Giles Morgan |
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NEW INDEPENDENT GROUP (1)

- | | | |
|----|-------------|-----------------|
| 1. | /Councillor | Louvain Roberts |
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EXTERNAL VOTING MEMBER

Period of appointment – until the Local Government Elections in May 2022

- | | |
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| 1. | Mrs Julie James |
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AGENDA

1. APOLOGIES FOR ABSENCE.
2. DECLARATIONS OF PERSONAL INTERESTS.
3. APPOINTMENT OF CHAIR FOR THE 2021/22 MUNICIPAL YEAR
4. APPOINTMENT OF VICE-CHAIR FOR THE 2021/22 MUNICIPAL YEAR
5. INTERNAL AUDIT PLAN UPDATE 2020/21 AND 2021/22 5 - 28
6. ANNUAL INTERNAL AUDIT REPORT 2020/21 29 - 48
7. FORWARD WORK PROGRAMME 49 - 56
8. PROGRESS REPORTS
- 8.1 SCHOOLS' DEFICITS AND SURPLUSES 57 - 68
9. ANNUAL ANTI-FRAUD AND ANTI-CORRUPTION REPORT 2020/21 69 - 90
10. COMPLAINTS POLICY 91 - 106
11. TO CONSIDER THE FOLLOWING DOCUMENTS PREPARED BY AUDIT WALES:-
- 11.1 AUDIT WALES WORK PROGRAMME UPDATE 107 - 118
12. CARMARTHENSHIRE COUNTY COUNCIL STATEMENT OF ACCOUNTS 2020/21 119 - 252
13. BURRY PORT HARBOUR FINANCIAL STATEMENT 2020-21 253 - 264
14. CODE OF CORPORATE GOVERNANCE 265 - 286
15. MINUTES OF RELEVANT GROUPS TO THE GOVERNANCE & AUDIT COMMITTEE:-
- 15.1 RISK MANAGEMENT STEERING GROUP - 29TH APRIL 2021 291 - 294
- 15.2 GRANTS PANEL - 26TH FEBRUARY 2021 295 - 302
- 15.3 CORPORATE GOVERNANCE GROUP - 16TH FEBRUARY AND 30TH MARCH 2021 303 - 308
16. TO SIGN AS A CORRECT RECORD THE MINUTES OF THE AUDIT COMMITTEE HELD ON THE 26TH MARCH 2021. 309 - 314