

FRIDAY, 10 MARCH 2023

TO: ALL MEMBERS OF THE GOVERNANCE & AUDIT COMMITTEE

I HEREBY SUMMON YOU TO ATTEND A MEETING OF THE **GOVERNANCE & AUDIT COMMITTEE** WHICH WILL BE HELD IN THE **CHAMBER, COUNTY HALL, CARMARTHEN AND REMOTELY AT 10.00 AM ON FRIDAY, 17TH MARCH, 2023** FOR THE TRANSACTION OF THE BUSINESS OUTLINED ON THE ATTACHED AGENDA

Wendy Walters

CHIEF EXECUTIVE

Democratic Officer:	Martin S. Davies
Telephone (direct line):	01267 224059
E-Mail:	MSDavies@carmarthenshire.gov.uk
This is a multi-location meeting. Committee members can attend in person at the venue detailed above or remotely via the Zoom link which is provided separately.	
The meeting can be viewed on the Authority's website via the following link:- https://carmarthenshire.public-i.tv/core/portal/home	

Wendy Walters Prif Weithredwr, *Chief Executive*,
Neuadd y Sir, Caerfyrddin. SA31 1JP
County Hall, Carmarthen. SA31 1JP

GOVERNANCE & AUDIT COMMITTEE

PLAID CYMRU GROUP - 4 Members

1. Cllr. Kim Broom
2. Cllr. Karen Davies
3. Cllr. Alex Evans
4. Cllr. Elwyn Williams

LABOUR GROUP - 3 Members

1. Cllr. Lewis Davies
2. Cllr. Philip Warlow
3. Cllr. Janet Williams

INDEPENDENT GROUP - 1 Members

1. Cllr. Giles Morgan [Vice-Chair]

EXTERNAL VOTING LAY MEMBERS

Period of appointment – until the Local Government Elections in May 2027

1. Mrs Julie James
2. Mr Malcolm MacDonald
3. Mr David MacGregor [Chair]
4. Vacancy

AGENDA

1. APOLOGIES FOR ABSENCE.
2. DECLARATIONS OF PERSONAL INTERESTS.
3. TO CONSIDER THE FOLLOWING DOCUMENTS PREPARED BY AUDIT WALES:-
 - 3.1 AUDIT WALES WORK PROGRAMME UPDATE. 5 - 24
 - 3.2 AUDIT WALES ANNUAL AUDIT SUMMARY 2022. 25 - 34
 - 3.3 AUDIT WALES REPORT: SPRINGING FORWARD - ASSET MANAGEMENT CARMARTHENSHIRE COUNTY COUNCIL. 35 - 54
 - 3.4 AUDIT WALES REPORT: SPRINGING FORWARD - WORKFORCE MANAGEMENT CARMARTHENSHIRE COUNTY COUNCIL. 55 - 72
4. INTERNAL AUDIT PLAN UPDATE 2022/23. 73 - 88
5. INTERNAL AUDIT RECOMMENDATIONS. 89 - 96
6. INTERNAL AUDIT PLAN 2023/24 & PLANNED COVERAGE FOR 2023-26. 97 - 104
7. COMPLAINTS ANNUAL REPORT 2021-22. 105 - 124
8. CARMARTHENSHIRE COUNTY COUNCIL'S CORPORATE RISK REGISTER 2022/23. 125 - 198
9. GOVERNANCE & AUDIT COMMITTEE FORWARD WORK PROGRAMME. 199 - 204
10. MINUTES OF RELEVANT GROUPS TO THE GOVERNANCE & AUDIT COMMITTEE. 205 - 214
11. TO SIGN AS A CORRECT RECORD THE MINUTES OF THE GOVERNANCE AND AUDIT COMMITTEE HELD ON THE 16TH DECEMBER, 2022. 215 - 222
12. EXCLUSION OF THE PUBLIC.

THE REPORTS RELATING TO THE FOLLOWING ITEMS ARE NOT FOR PUBLICATION AS THEY CONTAIN EXEMPT INFORMATION AS DEFINED IN PARAGRAPH 14 OF PART 4 OF SCHEDULE 12A TO THE LOCAL GOVERNMENT ACT 1972 AS AMENDED BY THE LOCAL GOVERNMENT (ACCESS TO INFORMATION) (VARIATION) (WALES) ORDER 2007. IF, FOLLOWING THE APPLICATION OF THE PUBLIC INTEREST TEST, THE COMMITTEE RESOLVES PURSUANT TO THE ACT TO CONSIDER THESE ITEMS IN PRIVATE, THE PUBLIC WILL BE EXCLUDED FROM THE MEETING DURING SUCH CONSIDERATION.

13. **AUDIT WALES: LESSONS FROM CYBER ATTACKS (OCTOBER 2022).** 223 - 256