

MONDAY, 25 SEPTEMBER 2023

TO: ALL MEMBERS OF THE GOVERNANCE & AUDIT COMMITTEE

I HEREBY SUMMON YOU TO ATTEND A MEETING OF THE **GOVERNANCE & AUDIT COMMITTEE** WHICH WILL BE HELD IN THE **CHAMBER, COUNTY HALL, CARMARTHEN, SA31 1JP AND REMOTELY AT 10.00 AM ON FRIDAY, 29TH SEPTEMBER, 2023** FOR THE TRANSACTION OF THE BUSINESS OUTLINED ON THE ATTACHED AGENDA

Wendy Walters

CHIEF EXECUTIVE

Democratic Officer:	Janine Owen
Telephone (direct line):	01267 224030
E-Mail:	JanineOwen@carmarthenshire.gov.uk

This is a multi-location meeting. Committee members can attend in person at the venue detailed above or remotely via the Zoom link which is provided separately.

The meeting can be viewed on the Authority's website via the following link:-
<https://carmarthenshire.public-i.tv/core/portal/home>

Wendy Walters Prif Weithredwr, *Chief Executive*,
Neuadd y Sir, Caerfyrddin. SA31 1JP
County Hall, Carmarthen. SA31 1JP

GOVERNANCE & AUDIT COMMITTEE

PLAID CYMRU GROUP - 4 Members

Cllr. Kim Broom (Committee Member)
Cllr. Karen Davies (Committee Member)
Cllr. Alex Evans (Committee Member)
Cllr. Elwyn Williams (Committee Member)

LABOUR GROUP - 2 Members

Cllr. Philip Warlow (Committee Member)
Cllr. Janet Williams (Committee Member)

INDEPENDENT GROUP - 1 Members

Cllr. Giles Morgan (Vice-Chair)

EXTERNAL VOTING LAY MEMBERS

Period of appointment – until the Local Government Elections in May 2027

Mrs Julie James
Mrs Karen Jones
Mr Malcolm MacDonald
Mr David MacGregor [Chair]

AGENDA

1. **APOLOGIES FOR ABSENCE.**
2. **DECLARATIONS OF PERSONAL INTERESTS.**
3. **AUDIT WALES WORK PROGRAMME UPDATE** 5 - 26
4. **INTERNAL AUDIT PLAN UPDATE 2023/24** 27 - 40
5. **ANNUAL ANTI-FRAUD AND ANTI-CORRUPTION REPORT 2022/23** 41 - 54
6. **COUNCIL COMPLAINTS POLICY ANNUAL REPORT 2022-23** 55 - 82
7. **OMBUDSMAN'S ANNUAL LETTER 2022/2023 CARMARTHENSHIRE COUNTY COUNCIL** 83 - 96
8. **REVISED CONTRACT PROCEDURE RULES** 97 - 140
9. **GOVERNANCE & AUDIT COMMITTEE ACTION LOG** 141 - 146
10. **TO SIGN AS A CORRECT RECORD THE MINUTES OF THE GOVERNANCE AND AUDIT COMMITTEE HELD ON THE 14 JULY 2023** 147 - 162
11. **EXCLUSION OF PUBLIC**
FOLLOWING CONSIDERATION OF ALL THE CIRCUMSTANCES OF THE CASE AND FOLLOWING THE APPLICATION OF THE PUBLIC INTEREST TEST THE COMMITTEE MAY CONSIDER THAT THE FOLLOWING ITEMS ARE NOT FOR PUBLICATION AS THEY CONTAIN EXEMPT INFORMATION AS DEFINED IN PARAGRAPH 14 OF PART 4 OF SCHEDULE 12A TO THE LOCAL GOVERNMENT ACT, 1972 AS AMENDED BY THE LOCAL GOVERNMENT (ACCESS TO INFORMATION) (VARIATION) (WALES) ORDER 2007.
12. **AUDIT WALES: LESSONS FROM CYBER ATTACKS - CARMARTHENSHIRE COUNTY COUNCIL RESPONSE** 163 - 176
13. **INTERNAL AUDIT REPORT - SAFEGUARDING** 177 - 184
14. **INTERNAL AUDIT REPORT - WELFARE CARDS** 185 - 194