

Carmarthenshire County Council

Wales Audit Office Work Programme: Audit Committee Update, March 2017

Financial audit work 2015-16 – Dyfed Pension Fund

Activity	Scope	Status
Financial Statements/Annual Audit Letter	Audit of the Pension Fund's 2015-16 financial statements and Annual Audit Letter.	Work completed and report presented to Audit Committee September 2016.

Financial audit work 2015-16 – Carmarthenshire County Council

Activity	Scope	Status
Certification of Grants and Returns 2014-15	Summary of grants and returns certification work 2014-15.	Audit Committee July 2016.
Financial Statements 2015-16	Audit of the Council's 2015-16 financial statements.	Audit Committee September 2016.
Annual Audit Letter	Report summarising our 2015-16 financial audit work.	Audit Committee January 2017
Agreed Action Plan For 2016-17 Accounts Preparation and Audit Process	Summary of agreed actions for making improvements to the 2016-17 accounts preparation and audit processes.	Audit Committee January 2017

Financial audit work 2016-17 – Dyfed Pension Fund

Activity	Scope	Status
Audit Plan	Plan of financial audit work for 2016-17.	Report is to be presented to the Audit Committee March 2017.
Financial Statements/Annual Audit Letter	Audit of the Pension Fund's 2016-17 financial statements and Annual Audit Letter.	Report is to be presented to the Audit Committee September 2017.

Financial audit work 2016-17 – Carmarthenshire County Council

Activity	Scope	Status
Audit Plan 2017	Plan of financial audit work for 2016-17.	Report is to be presented to the Audit Committee March 2017.
Certification of Grants and Returns 2015-16	Summary of grants and returns certification work 2015-16.	Report is to be presented to the Audit Committee March 2017.
Financial Statements 2016-17	Audit of the Council's 2016-17 financial statements.	Report is to be presented to the Audit Committee September 2017.
Annual Audit Letter	Report summarising our 2016-17 financial audit work.	Report is to be presented to the Audit Committee January 2018.

Performance work 2016-2017 - Carmarthenshire County Council

Activity	Scope	Status
Audit Plan	Plan of performance audit work for 2016-17.	Complete - Audit Committee March 2016
Improvement Plan Audit	Audit of the discharge of the Council's duty to publish an improvement plan.	Complete - Review of ARIP complete. Certificate issued Presented to Audit Committee September 2016
Assessment of performance	Audit of the discharge of the Council's duty to publish an assessment of performance.	Complete – see above
Annual Improvement Report	Report from AGW reviewing the Council's performance and arrangements	To be published May 2017
Thematic Study: Financial Resilience (3)	Focus: Savings Plans	Local report issued March 2017
Thematic Study: Governance	Focus: Governance of significant changes	Draft local report – to be issued in March 2017
Thematic Study: Transformation	The approach will gather the views of Chief Executives and managers about the key issues they face in dealing with change/transformation and the skills required to tackle them.	Projected timescale: April 2017 – June 2017
Local Project	Review of ICT arrangements – follow up of Corporate Assessment PFI	Site work (intermittent) - July to December 2016. Reporting within Annual Improvement Report 2016-17

Local Project	Review of progress of Corporate Assessment PFIs	Reporting within Annual Improvement Report 2016-17
Local Government Improvement Study – Improving well-being through housing adaptations	Underway – Carmarthenshire Council will participate in the survey only	Fieldwork stage
Local Government Improvement Study – Strategic commissioning of learning disability services by local authorities.	Underway – Carmarthenshire Council will participate in the survey only	Fieldwork stage
Local Government Improvement Study – How local government manages demand – Homelessness services	Underway – Carmarthenshire Council is one of five sample local authorities participating in this study – involving fieldwork.	Fieldwork/interviews undertaken

Last updated: 10.03.17