

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Mar-19	Jun-19	Sep-19	Dec-19
<b>Appointment of Audit Committee:</b> · Chair · Vice Chair	Corporate Services	Audit Committee		✓		
<b>Annual Audit Report</b>	Corporate Services	Head of Revenues and Financial Compliance		✓		
<b>Forward Work Programme</b>	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
<b>Internal Audit Plan Update</b> · To receive the progress report · To receive the Scoring Matrix for finalised reviews	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
<b>Internal Audit indicative three year plan</b>	Corporate Services	Head of Revenues and Financial Compliance	✓			
<b>Assurance Reviews:</b> · Fundamental financial systems · 3* reports	Corporate Services	Head of Revenues and Financial Compliance	As required			
<b>Progress Report - Delivery of External Audit Recommendations</b>	Regeneration & Policy	Performance Planning Section				✓
<b>Progress Report - Delivery of Internal Audit Recommendations</b>	Corporate Services	Head of Revenues and Financial Compliance	✓			
<b>Internal Audit Progress Updates requested by Audit Committee:</b> · Coastal Facilities · Supporting People	Corporate Services	Head of Revenues and Financial Compliance		✓		
<b>Progress reports as requested by Audit Committee</b> · Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities · Museums · Leisure Centre	Communities	Head of Mental Health and Learning Disabilities Head of Leisure Head of Leisure		✓		✓
<b>Approval of Audit Charter</b>	Corporate Services	Head of Revenues and Financial Compliance				✓
<b>Approval of Strategies / Rules &amp; Regulations</b>	Corporate Services	Head of Revenues and Financial Compliance	As required			
<b>Approval of Anti-Fraud and Corruption Strategy</b>	Corporate Services	Head of Revenues and Financial Compliance	✓			
<b>Receive the Corporate Risk Register</b>	Corporate Services	Head of Revenues and Financial Compliance	✓		✓	
<b>Opportunity for Members to discuss Risks</b>	Corporate Services	Risk owners	✓		✓	
<b>Statement of Accounts including Annual Governance Statement for Carmarthenshire CC &amp; Dyfed Pension Fund</b> · To be received · To be approved	Corporate Services	Head of Financial Services		✓	✓	
<b>Burry Port Harbour Accounting Statement</b> · To be received · To be approved	Corporate Services	Head of Financial Services		✓	✓	
<b>Audit enquiries to those charged with governance and management for:</b> · Carmarthenshire CC · Dyfed Pension Fund	Corporate Services	Head of Financial Services			✓	
<b>Single Tender Action</b>	Corporate Services	Director of Corporate Services	As required			
<b>Minutes for noting:</b> · Grants Panel · Corporate Governance Group · Risk Management Steering Group	Corporate Services	Head of Revenues and Financial Compliance Head of Financial Services	✓	✓	✓	✓
<b>Wales Audit Office:</b> · Audit Plan Update · Annual Improvement Report	Corporate Services	Wales Audit Office	✓	✓	✓	✓
· Financial Statements – ISA260 Report presented to those charged with Governance)in relation to the Statement of Accounts for o Carmarthenshire CC o Dyfed Pension Fund					✓	
· Letter of Representation o Carmarthenshire CC o Dyfed Pension Fund					✓	
· Annual Audit Letter: o Carmarthenshire CC o Dyfed Pension Fund						✓
· Thematic Study: Well-being of Future Generations - Baseline assessment						
· Thematic Study: Well-being of Future Generations -Scrutiny Review						
· Thematic Study: Service User Review						
· LG Improvement Study - Intermediate Care Fund						
· LG Improvement Study - Using Data Effectively						
· LG Improvement Study - How well do public bodies provide services to rural communities						
· Local Project work						
· Auditor General's fees o Financial Audits: § Carmarthenshire CC § Dyfed Pension Fund o Performance Audit			✓			