

Audit Committee

22nd March 2019

Subject and Purpose:

INTERNAL AUDIT PLAN 2019/20 & PLANNED COVERAGE FOR 2020-22

Recommendations / key decisions required:

To approve the Annual Internal Audit Plan for 2019/20 and to confirm the planned coverage for 2020-22.

Reasons:

It is essential that audits are planned using a structured risk based approach. A three year rolling programme provides assurance of adequate audit coverage and provides the flexibility to deal with changes to systems within the Authority.

Relevant scrutiny committee to be consulted:

Not Applicable

Exec Board Decision Required:

Not Applicable

Council Decision Required:

Not Applicable

EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER: Cllr D Jenkins

Directorate:

Corporate Services

Designations:

Head of Revenues and
Financial Compliance

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Name of Head of Service:

Helen Pugh

Report Author:

Helen Pugh

EXECUTIVE SUMMARY
Audit Committee
22nd March 2019

SUBJECT

Internal Audit Plan 2019/20 & Planned Coverage for 2020-22

The Audit Plan was compiled using risk assessment principles and taking into account changes in services. The adoption of a three year rolling programme provides assurance of the adequacy of audit coverage and allows the flexibility to deal with changes to systems within the Authority. The Plan assumes full staffing within the Section of 10 FTE staff.

The following report is attached for approval:

Internal Audit Plan 2019-22 – Detailed Listing of Planned Reviews

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Helen Pugh Head of Revenues and Financial Compliance

Policy, Crime & Disorder and Equalities NONE	Legal NONE	Finance YES	ICT NONE	Risk Management Issues NONE	Staffing Implications NONE	Physical Assets NONE
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Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Helen Pugh Head of Revenues and Financial Compliance

1. **Scrutiny Committee:** Not Applicable
2. **Local Member(s):** Not Applicable
3. **Community/Town Council:** Not Applicable
4. **Relevant Partners:** Not Applicable
5. **Staff Side Representatives and other Organisations:** Not Applicable

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

Title of Document	File Ref No.	Locations that the papers are available for public inspection