

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Jan-20	Mar-20	Jun-20	Sep-20	
Appointment of Audit Committee: · Chair · Vice Chair	Corporate Services	Audit Committee			✓		
Annual Audit Report	Corporate Services	Head of Revenues and Financial Compliance			✓		
Forward Work Programme	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	
Internal Audit Plan Update · To receive the progress report · To receive the Scoring Matrix for finalised reviews	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	
Internal Audit indicative three year plan	Corporate Services	Head of Revenues and Financial Compliance		✓			
Assurance Reviews: · Fundamental financial systems · 3* reports	Corporate Services	Head of Revenues and Financial Compliance	As required				
Progress Report - Delivery of External Audit Recommendations	Regeneration & Policy	Performance Planning Section	✓				
Progress Report - Delivery of Internal Audit Recommendations	Corporate Services	Head of Revenues and Financial Compliance		✓			
Internal Audit Progress Updates requested by Audit Committee: · Coastal Facilities · Supporting People	Corporate Services	Head of Revenues and Financial Compliance	✓			✓	
Progress reports as requested by Audit Committee · Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities · Museums · Pembrey Ski Centre · Llanelli Leisure Centre · People Performance Management Review (2017) · Asset Valuation update	Communities Chief Executives Corporate Services	Head of Mental Health and Learning Disabilities Head of Leisure Head of Leisure Head of Leisure Assistant Chief Executive Director of Corporate Services		✓			
			✓				
				✓			
					✓		
				✓		✓	
				✓			
Approval of Audit Charter	Corporate Services	Head of Revenues and Financial Compliance	As required				
Approval of Strategies / Rules & Regulations	Corporate Services	Head of Revenues and Financial Compliance	As required				
Approval of Anti-Fraud and Corruption Strategy	Corporate Services	Head of Revenues and Financial Compliance		✓			
Receive the Corporate Risk Register	Corporate Services	Head of Revenues and Financial Compliance	✓	✓			
Opportunity for Members to discuss Risks	Corporate Services	Risk owners	✓	✓			
Statement of Accounts including Annual Governance Statement for Carmarthenshire CC & Dyfed Pension Fund · To be received · To be approved	Corporate Services	Head of Financial Services			✓	✓	
Burry Port Harbour Accounting Statement · To be received · To be approved	Corporate Services	Head of Financial Services			✓	✓	
Audit enquiries to those charged with governance and management for: · Carmarthenshire CC · Dyfed Pension Fund	Corporate Services	Head of Financial Services				✓	
Single Tender Action	Corporate Services	Director of Corporate Services	As required				
Minutes for noting: · Grants Panel · Corporate Governance Group · Risk Management Steering Group	Corporate Services	Head of Revenues and Financial Compliance Head of Financial Services	✓	✓	✓	✓	
Wales Audit Office: · Audit Plan Update	Corporate Services	Wales Audit Office	✓	✓	✓	✓	
· Annual Improvement Report						✓	
· Financial Statements – ISA260 Report presented to those charged with Governance in relation to the Statement of Accounts for: o Carmarthenshire CC o Dyfed Pension Fund							✓
· Letter of Representation o Carmarthenshire CC o Dyfed Pension Fund							✓
· Annual Audit Letter: o Carmarthenshire CC o Dyfed Pension Fund				✓			
· Auditor General's fees o Financial Audits: § Carmarthenshire CC § Dyfed Pension Fund o Performance Audit				✓			