

# Audit Committee

20<sup>th</sup> March 2020

<b>Subject: Internal Audit Recommendations</b>	
<b>Purpose: Update on Internal Audit Recommendations</b>	
<b>Recommendations / key decisions required:</b> To receive the report.	
<b>Reasons:</b> An update on Internal Audit recommendations to be presented to the Audit Committee on an annual basis.	
<b>Relevant scrutiny committee to be consulted:</b> Not Applicable	
<b>Exec Board Decision Required:</b>	Not Applicable
<b>Council Decision Required:</b>	Not Applicable

EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER: Cllr D Jenkins

<b>Directorate:</b> Corporate Services	<b>Designations:</b>	
<b>Name of Head of Service:</b> Helen Pugh	Head of Revenues and Financial Compliance	Tel No. 01267 246223 E Mail Addresses: <a href="mailto:HLPugh@carmarthenshire.gov.uk">HLPugh@carmarthenshire.gov.uk</a> <a href="mailto:CaPowell@carmarthenshire.gov.uk">CaPowell@carmarthenshire.gov.uk</a>
<b>Report Author:</b> Caroline Powell	Principal Auditor	

**EXECUTIVE SUMMARY**  
**Audit Committee**  
20<sup>th</sup> March 2020

<b>SUBJECT</b> <b>INTERNAL AUDIT RECOMMENDATIONS</b>
---

The Internal Audit division maintains a log of all Internal Audit Reports issued. The recommendations made as part of these Reports are uploaded onto the Council's Performance Information Monitoring System (PIMS).

The responsible officer for each recommendation is required to update PIMS with the actions taken to address the identified issues.

This report, derived from PIMS, details the progress of Internal Audit recommendations relating to the 2018/19 financial year.

<b>DETAILED REPORT ATTACHED?</b>	<b>YES</b>
----------------------------------	------------

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Helen Pugh Head of Revenues and Financial Compliance

Policy, Crime & Disorder and Equalities NONE	Legal NONE	Finance <b>YES</b>	ICT NONE	Risk Management Issues NONE	Staffing Implications NONE	Physical Assets NONE
---	---------------	-----------------------	-------------	--------------------------------	-------------------------------	-------------------------

### Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Helen Pugh Head of Revenues and Financial Compliance

1. **Scrutiny Committee:** Not Applicable
2. **Local Member(s):** Not Applicable
3. **Community/Town Council:** Not Applicable
4. **Relevant Partners:** Not Applicable
5. **Staff Side Representatives and other Organisations:** Not Applicable

### Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

**THESE ARE DETAILED BELOW**

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Strategic Audit Plan 2019-22	AC 22-03-19	Internal Audit Unit