

Audit Committee
29th July 2020

Subject and Purpose: Revised Internal Audit Plan 2020/21		
Recommendations / key decisions required: To approve the Annual Internal Audit Plan for 2020/21		
Reasons: Due to the outbreak of COVID-19, it has been necessary to revise and reshape the previously proposed Internal Audit plan for 2020/21. The revised plan includes specific time allocated for COVID-19 related auditing. The remainder of the plan is focussed on the Authority's highest risk areas, considering the risks that are detailed in the Corporate Risk Register as well as departmental Risk Registers.		
Relevant scrutiny committee to be consulted: N/A		
Exec. Board Decision Required: N/A		
Council Decision Required: N/A		
EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER: Cllr D Jenkins		
Directorate: Corporate Services		
Name of Head of Service: Helen Pugh	Designation: Head of Revenues and Financial Compliance	Tel No.: 01267 246223
Report Author: Helen Pugh		E Mail Address: HLPugh@carmarthenshire.gov.uk

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Revised Internal Audit Plan 2020/21

The original 2020/21 Audit Plan has been revised as a result of COVID-19 and its impact on functions, services and the Authority as a whole.

The revised plan includes coverage of:

- Fundamental Audits
- Corporate Governance Assurance
- COVID-19 Assurance
- Corporate Reviews
- Grants and Certification
- Departmental Reviews

In devising the revised plan, the Authority's Corporate Risk Register and Departmental Risk Registers were considered in order to ensure appropriate coverage and consideration of the Authority's highest risks.

The following report is attached for approval:

Internal Audit Plan 2020/21

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: H L Pugh – Head of Revenues and Financial Compliance

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	NONE

Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: H L Pugh – Head of Revenues and Financial Compliance

1. Scrutiny Committee: Not Applicable
2. Local Member(s): Not Applicable
3. Community / Town Council: Not Applicable
4. Relevant Partners: Not Applicable
5. Staff Side Representatives and other Organisations: Not Applicable

Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:

THERE ARE NONE

Title of Document	File Ref No.	Locations that the papers are available for public inspection