

INTERNAL AUDIT PLAN 2020/21

2020/21 % Plan Completion to Date 15.0%

Job No	Departments	Days Planned	Pre-Audit Meeting	Terms of Reference Issued	Commenced	Field Work Complete	Draft Report Issued	Complete / Final Report Issued	Status	Assurance Rating / Engagement Type
Fundamentals										
1120002	Council Tax	15								
1120003	Creditors	40								
1120004	Income	20								
1120005	Main Accounting	20								
1120006	National Fraud Initiative (NFI) - Mortality Screening	10								
1120007	NNDR (NFI review of COVID-19 Grants)	20								
5120007	Payroll	40								
1120008	Treasury Management - Loans & Investments (CCC and DPF)	15								
	Total Audit Days for Fundamentals	180								
Corporate Governance Assurance										
2120001	Annual Governance Statement/Corp Gov Arrangements	20								
2120002	Performance Management	20								
2120003	Risk Management Arrangements	15								
2120004	Wellbeing of Future Generations Act	20								
2120005	Whistleblowing	15								
	Total Audit Days for Corporate Governance Assurance	90								
COVID-19										
3120001	COVID-19 Decision-Making Process	30								
3120002	COVID-19 Expenditure	30								
5420002	COVID-19 Systems and Processes (advice and support)	50	N/A	N/A	*	*	/	*	Complete	Consultation
3120003	COVID-19 Systems and Processes (Evaluation and recs)	50	*	*	*				Commenced	
5420001	COVID-19: Free School Meals Payment Process	20	N/A	N/A	*	*	/	*	Complete	Consultation
	Total Audit Days for COVID-19	180								
Corporate Review Work										
4120005	Local Authority Trading Companies	20								
4120006	Procurement	30								
4120007	Safeguarding	20								
4120008	Staffing Matters (e.g. DBS Overtime Flexi Leave)	40								
	Total Audit Days for Corporate Review Work	110								
Grants & Certification										
5120005	Burry Port Harbour	10								
5220001	Children & Communities Grant	25	*	N/A	*	*	/	*	Complete	Grant Certification
4120003	Education Improvement Grant - EOY	10	*	*	*				Commenced	
5220003	Education Improvement Grant - Q3	10								
5220004	Education Improvement Grant - Q4	10								
6120005	Enable Grant	10								
6120004	Housing Support Grant	40	*	N/A	*				Commenced	
5220005	Local Authority Education Grant	20								
5220006	Other Grants (where assurance is required for sign-off)	20								
5220007	Post 16 Grant	10								
4120004	Pupil Deprivation Grant - EOY	10	*	*	*				Commenced	

