

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Sep-20	Oct-20	Dec-20	Mar-21	Jun-21
Appointment of Audit Committee: - Chair - Vice Chair	Corporate Services	Audit Committee					✓
Annual Audit Report	Corporate Services	Head of Revenues and Financial Compliance					✓
Forward Work Programme	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	✓
Internal Audit Plan Update	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	✓
Internal Audit indicative three year plan	Corporate Services	Head of Revenues and Financial Compliance				✓	
Assurance Reviews: - Fundamental financial systems - 3* reports	Corporate Services	Head of Revenues and Financial Compliance	As required				
Progress Report - Delivery of External Audit Recommendations	ICT & Policy	Performance Planning Section			✓		
Progress Report - Delivery of Internal Audit Recommendations	Corporate Services	Head of Revenues and Financial Compliance				✓	
Internal Audit Progress Updates requested by Audit Committee: - Pembrey Ski Centre	Corporate Services	Head of Revenues and Financial Compliance		✓			
Progress reports as requested by Audit Committee - Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities - Museums - Llanelli Leisure Centre - Property Management - Schools' Deficits and Surpluses - People Performance Management Review (2017)	Communities Chief Executives Education & Children Chief Executives	Head of Mental Health and Learning Disabilities Head of Leisure Head of Leisure Head of Regeneration Head of Education and Inclusion Services Assistant Chief Executive			✓		
Approval of Audit Charter	Corporate Services	Head of Revenues and Financial Compliance	As required				
Approval of Strategies / Rules & Regulations	Corporate Services	Head of Revenues and Financial Compliance	As required				
Approval of Anti-Fraud and Corruption Strategy	Corporate Services	Head of Revenues and Financial Compliance			✓		
Receive the Corporate Risk Register	Corporate Services	Head of Revenues and Financial Compliance	✓			✓	
Opportunity for Members to discuss Risks	Corporate Services	Risk owners	✓			✓	
Statement of Accounts including Annual Governance Statement for Carmarthenshire CC - To be received - To be approved	Corporate Services	Head of Financial Services	✓				✓
Statement of Accounts including Annual Governance Statement for Dyfed Pension Fund - To be received - To be approved	Corporate Services	Head of Financial Services		✓			✓
Burry Port Harbour Accounting Statement - To be received - To be approved	Corporate Services	Head of Financial Services	✓				✓
Audit enquiries to those charged with governance and management for: - Carmarthenshire CC - Dyfed Pension Fund	Corporate Services	Head of Financial Services	✓	✓			
Single Tender Action	Corporate Services	Director of Corporate Services	As required				
Minutes for noting: - Grants Panel - Corporate Governance Group - Risk Management Steering Group	Corporate Services	Head of Revenues and Financial Compliance Head of Financial Services	✓	✓	✓	✓	✓
Audit Wales: - Audit Plan Update	Corporate Services	Audit Wales	✓	✓	✓	✓	✓
- Financial Statements – ISA260 Report presented to those charged with Governance in relation to the Statement of Accounts for: o Carmarthenshire CC o Dyfed Pension Fund			✓	✓			
- Letter of Representation o Carmarthenshire CC o Dyfed Pension Fund			✓	✓			
- Annual Audit Letter: o Carmarthenshire CC o Dyfed Pension Fund						✓	
- Auditor General's fees o Financial Audits: § Carmarthenshire CC § Dyfed Pension Fund o Performance Audit							✓