

INTERNAL AUDIT PLAN 2020/21										
2020/21		% Plan Completion to Date		36.3%						
Job No	Departments	Days Planned	Pre-Audit Meeting	Terms of Reference Issued	Commenced	Field Work Complete	Draft Report Issued	Complete / Final Report Issued	Status	Assurance Rating / Engagement Type
<b>Fundamentals</b>										
1120002	Council Tax	15								
1120003	Creditors	40	*						Pre Audit Meeting	
1120004	Income	20	*						Pre Audit Meeting	
1120005	Main Accounting	20	*	*	*				Commenced	
1120006	National Fraud Initiative (NFI) - Mortality Screening	10								
5120007	Payroll	40	*							
1120008	Treasury Management - Loans & Investments (CCC and DPF)	15	*	*	*				Commenced	
<b>Total Audit Days for Fundamentals</b>		<b>160</b>								
<b>Corporate Governance Assurance</b>										
2120001	Annual Governance Statement/Corp Gov Arrangements	20								
2120002	Performance Management	20								
2120003	Risk Management Arrangements	15								
2120004	Wellbeing of Future Generations Act	20								
2120005	Whistleblowing	15								
<b>Total Audit Days for Corporate Governance Assurance</b>		<b>90</b>								
<b>COVID-19</b>										
3120001	COVID-19 Decision-Making Process	20	*	*					Terms of Reference Issued	
3120002	COVID-19 Expenditure	20	*						Pre Audit Meeting	
5420002	COVID-19 Systems and Processes (advice and support)	50	N/A	N/A	*	*	/	*	Complete	Consultation
3120003	COVID-19 Systems and Processes (Evaluation and recs)	40	*	*	*				Commenced	
5420001	COVID-19: Free School Meals Payment Process	20	N/A	N/A	*	*	/	*	Complete	Consultation
<b>Total Audit Days for COVID-19</b>		<b>150</b>								
<b>Corporate Review Work</b>										
4120005	Local Authority Trading Companies	20								
4120006	Procurement	30	*	*	*				Commenced	
4120007	Safeguarding	20							Commenced	
4120008	Staffing Matters (e.g. DBS   Overtime   Flexi   Leave)	20	*							
<b>Total Audit Days for Corporate Review Work</b>		<b>90</b>								
<b>Counter Fraud</b>										
1120007	National Fraud Initiative (NNDR) - review of COVID-19 Grants	20	*	N/A	*				Commenced	
1120009	Proactive Fraud Testing	20	*	N/A	*				Commenced	
1120010	Fraud Prevention, Detection and Investigation	30	N/A	N/A	*				Commenced	
<b>Total Audit Days for Counter Fraud Work</b>		<b>70</b>								
<b>Grants &amp; Certification</b>										
5120005	Burry Port Harbour	10	*	N/A	*	*	*	*	Complete	Accounts Return
5220001	Children & Communities Grant	25	*	*	*	*	*	*	Complete	Grant Certification
4120003	Education Improvement Grant - EOY	10	*	*	*	*	*	*	Complete	Grant Certificate
5220003	Education Improvement Grant - Q3	10								
5220004	Education Improvement Grant - Q4	10								
6120005	Enable Grant	10	*	*	*	*	*	*	Complete	Grant Certificate



