

Breaches Report

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| 89 | 2019-20 | 22/4/20 | Contributions | An underpayment of contributions £435.34 has occurred during the year. Remittance advices were received late and often did not match the payments. The Accountant stated that it's the Employer's error but the Employer insists the responsibility of the Accountant. | No | Amber | No Report | Problems with differences between remittances and actual payments received and timing of payments has not been resolved. Accountant on Furlough Scheme at present. Underpayment for 19-20 still outstanding |
| 90 | 2020-21 | April 20 and May 20 | Automatic payment of refund after 5 years for post 2014 leavers | Members have been contacted requesting bank details in order to pay refunds, however, no reply has been received from the scheme members. April - 9 members & total refunds = £627.51, May - 14 members & total refunds = £1289.69 | No | Amber | No Report | The National Technical Group has recommended to the SAB that the regulations in respect of refunds is amended and reflects the position prior to April 2014. |
| 91 | 2020-21 | 22/5/20 | Contributions | April contributions (£284.81) received 4 days late. Cause: Difficulties between Accountant and Employer over who is responsible for accuracy and timing of payments. Effect: Payment has now been received. | No | Amber | No Report | Problems with differences between remittances and actual payments received and timing of payments has not been resolved. Accountant on Furlough Scheme at present. Underpayment for 19-20 still outstanding |
| 92 | 2020-21 | 22/5/20 | Contributions | April contributions (£21,425.90) received 14 days late. Cause: Payment not made by Finance Department Effect: Payment has now been received. | No | Amber | No Report | There are no long term implications associated with this breach. Contributions now up to date. |
| 93 | 2020-21 | 22/5/20 | Contributions (EES ONLY) | April EES contributions (£472.23) received 12 days late. Cause: Payment not made by Finance Department Effect: Payment has now been received. | No | Amber | No Report | There are no long term implications associated with this breach. Contributions now up to date. |
| 94 | 2020-21 | 22/6/20 | Contributions | May contributions (£21,168.63) received 2 days late. Cause: Payment runs now made fortnightly instead of weekly due to homeworking. Will put future payments on earlier run. Payment has now been received. | No | Amber | No Report | There are no long term implications associated with this breach. Contributions now up to date. |
| 95 | 2020-21 | 22/7/20 | PSD | June quarter PSD payment of £150 not received. Also underpayment of £435.34 from 2019-20 still not received. Another email sent 27th July to Accountant. | No | Amber | No Report | Accountant has replied stating that she will ask for underpayment to be paid in next payroll run. They do not have much contact with Employer at moment due to Covid 19 closure. |
| 96 | 2020-21 | June 20, July 20 & August 20 | Automatic payment of refund after 5 years for post 2014 leavers | Members have been contacted requesting bank details in order to pay refunds, however, no reply has been received from the scheme members. June - 15 members & total refunds = £2625.12, July - 22 members & total refunds = £1734.26, August - 44 members & total refunds = £9653.66 | No | Amber | No Report | The National Technical Group has recommended to the SAB that the regulations in respect of refunds is amended and reflects the position prior to April 2014. |
| 97 | 2020-21 | 22/8/20 | Contributions | July contributions (£21,673.12) received 2 days late. Cause: Payment runs now made fortnightly instead of weekly due to homeworking. Payment has now been received. | No | Amber | No Report | There are no long term implications associated with this breach. Contributions now up to date. |
| 98 | 2020-21 | 22/9/20 | Contributions & PSD | August contributions not yet received. Also, June quarter PSD payment of £150 not received as well as underpayment of £435.34 from 2019-20. Cause: Accountant has been on annual leave and difficulties between Accountant and Employer over who is responsible for accuracy and timing of payments is still ongoing | No | Amber | No Report | August contributions only received 6.10.20-awaiting further information from Accountant and Employer. |
| 99 | 2020-21 | 22/10/20 | Contributions & PSD | September contributions £262.73 received 4.11.20 (13 days late). Also, June & September qtrs PSD payment of £300 (£150x2) not received as well as underpayment of £435.34 from 2019-20. Cause: Accountant has been on annual leave and difficulties between Accountant and Employer over who is responsible for accuracy and timing of payments is still ongoing | No | Amber | No Report | Email sent 26 October to Accountant who will check outstanding balances and forward information to Employer |
| 100 | 2020-21 | 22/10/20 | Contributions | September payment of £1,333.09 was received 23/10/20 (1 day late). Cause: Payment was put on hold as query with figures. Payment has now been received. | No | Amber | No Report | There are no long term implications associated with this breach. Contributions now up to date. |

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| 101 | 2020-21 | September, October, November & December 20 | Automatic payment of refund after 5 years for post 2014 leavers | Members have been contacted requesting bank details in order to pay refunds, however, no reply has been received from the scheme members. September - 11 members & total refunds = £1746.78, October - 14 members & total refunds = £1694.06, November - 14 members and total refund = £2713.58, December - 15 members and total refund = £2737.93 | No | Amber | No Report | The National Technical Group has recommended to the SAB that the regulations in respect of refunds is amended and reflects the position prior to April 2014. |
| 102 | 2020-21 | 22/12/20 | Contributions | November payment of £1,250.63 not yet received. Finance Manager is looking into this. | No | Amber | No Report | There are no long term implications associated with this breach. |