

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Mar-21	Jul-21	Sep-21	Dec-21
<b>Appointment of Audit Committee:</b> - Chair - Vice Chair	Corporate Services	Audit Committee		✓		
<b>Annual Audit Report</b>	Corporate Services	Head of Revenues and Financial Compliance		✓		
<b>Forward Work Programme</b>	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
<b>Internal Audit Plan Update</b>	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
<b>Internal Audit indicative three year plan</b>	Corporate Services	Head of Revenues and Financial Compliance	✓			
<b>Assurance Reviews:</b> - Fundamental financial systems - 3* reports	Corporate Services	Head of Revenues and Financial Compliance	As Required			
<b>Progress Report - Delivery of External Audit Recommendations</b>	ICT & Policy	Performance Planning Section				✓
<b>Progress Report - Delivery of Internal Audit Recommendations</b>	Corporate Services	Head of Revenues and Financial Compliance	✓			
<b>Internal Audit Progress Updates requested by Audit Committee:</b>	Corporate Services	Head of Revenues and Financial Compliance	As Required			
<b>Progress reports as requested by Audit Committee</b>						
- Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities	Communities	Head of Mental Health and Learning Disabilities		✓		
- Schools' Deficits and Surpluses	Education & Children	Head of Education and Inclusion Services		✓		
<b>Approval of Audit Charter</b>	Corporate Services	Head of Revenues and Financial Compliance	As Required			
<b>Approval of Strategies / Rules &amp; Regulations</b>	Corporate Services	Head of Revenues and Financial Compliance	As Required			
<b>Annual Anti-Fraud and Corruption Report</b>	Corporate Services	Head of Revenues and Financial Compliance			✓	
<b>Receive the Corporate Risk Register</b>	Corporate Services	Head of Revenues and Financial Compliance	✓		✓	
<b>Opportunity for Members to discuss Risks</b>	Corporate Services	Risk owners	✓		✓	
<b>Receive the Annual Complaints Report</b>	ICT & Policy	Corporate Policy & Partnership Manager		✓		
<b>Statement of Accounts including Annual Governance Statement for Carmarthenshire County Council</b> - To be received - To be approved	Corporate Services	Head of Financial Services		✓		✓
<b>Statement of Accounts including Annual Governance Statement for Dyfed Pension Fund</b> - To be received - To be approved	Corporate Services	Head of Financial Services		✓		✓
<b>Burry Port Harbour Accounting Statement</b> - To be received - To be approved	Corporate Services	Head of Financial Services		✓		✓
<b>Audit enquiries to those charged with governance and management for:</b> - Carmarthenshire County Council - Dyfed Pension Fund	Corporate Services	Head of Financial Services			✓	
<b>Single Tender Action</b>	Corporate Services	Director of Corporate Services	As Required			
<b>Minutes for noting:</b> - Grants Panel - Corporate Governance Group - Risk Management Steering Group	Corporate Services	Head of Revenues and Financial Compliance Head of Financial Services	✓	✓	✓	✓
<b>Audit Wales:</b> - Audit Plan Update				✓	✓	✓
- Financial Statements – ISA260 Report presented to those charged with Governance in relation to the Statement of Accounts for: o Carmarthenshire County Council o Dyfed Pension Fund					✓	
- Annual returns – Reports re independent examination for: o Burry Port Harbour Authority					✓	
- Letter of Representation o Carmarthenshire County Council o Dyfed Pension Fund	Corporate Services	Audit Wales			✓	
- Annual Audit Letter o Carmarthenshire County Council			✓			
- Audit Plans, including information on fees o Carmarthenshire County Council o Dyfed Pension Fund			✓			