

**Audit Committee**  
**22<sup>nd</sup> March 2016**

**Subject: Internal Audit Plan**

**Purpose: Internal Audit Plan 2015/16 Update**

**Recommendations / key decisions required:**

**To receive the report**

**Reasons:**

Regular progress report to be presented to each Audit Committee meeting

**Relevant scrutiny committee to be consulted:**

Not Applicable

**Exec Board Decision Required**

Not Applicable

**Council Decision Required**

Not Applicable

EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:- Cllr D Jenkins

**Directorate:**

Corporate Services

**Name of Head of Service:**

Phil Sexton

**Report Author:**

Helen Pugh

**Designations:**

Head of Audit, Risk &  
Procurement

Audit & Risk Manager

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**EXECUTIVE SUMMARY**  
**Audit Committee**  
**22<sup>nd</sup> March 2016**

**SUBJECT**

**INTERNAL AUDIT PLAN UPDATE 2015/16**

**1. BRIEF SUMMARY OF PURPOSE OF REPORT.**

To provide Members with progress of the Internal Audit Plan. The following Reports are attached:

**REPORT A (i) Internal Audit Plan 2015/16 - Progress Report**

**REPORT A(ii) Internal Audit Plan 2015/16 - Recommendations Scoring Matrix**

**REPORT B Summary of Completed Final Reports 2015/16 Relating to Key Financial Systems (April 2015 to date)**

A Summary of Final Reports for the Key Systems completed during the last Quarter is attached.

1	Treasury Management
2	Investments – Dyfed Pension Fund
3	Pensions Payroll
4	Payroll

**REPORT C – Priority 1 Recommendations Relating to Reviews of Other Systems and Establishment Audits**

This Section includes Reviews completed since April 2015 where Systems have one or more Fundamental Control Weaknesses or involve Reviews which the Chair of Audit Committee and the Audit & Risk Manager have agreed should be brought to the Committee

1	Leisure Facilities at Pembrey Country Park and Millennium Coastal Park
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**DETAILED REPORT ATTACHED ?**

**YES**

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Phil Sexton** **Head of Audit, Risk & Procurement**

Policy, Crime & Disorder and Equalities NONE	Legal NONE	Finance YES	ICT NONE	Risk Management Issues NONE	Staffing Implications NONE	Physical Assets NONE
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### Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **Phil Sexton** **Head of Audit, Risk & Procurement**

1. **Scrutiny Committee** : Not Applicable
2. **Local Member(s)** : Not Applicable
3. **Community / Town Council** : Not Applicable
4. **Relevant Partners** : Not Applicable
5. **Staff Side Representatives and other Organisations** : Not Applicable

### Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

**THESE ARE DETAILED BELOW**

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Strategic Audit Plan 2014-17	AC 28-03-14	Internal Audit Unit