

Audit Committee
22nd March 2016

Subject: Internal Audit Plan

Purpose: Internal Audit Plan 2016/17 & Planned Coverage for 2017/19

Recommendations / key decisions required:

To approve the Annual Internal Audit Plan for 2016/17 and to confirm the planned coverage for 2017/19

Reasons:

It is essential that audits are planned using a structured risk based approach. A three year rolling programme provides assurance of adequate audit coverage and provides the flexibility to deal with changes to systems within the Authority

Relevant scrutiny committee to be consulted:

Not Applicable

Exec Board Decision Required Not Applicable

Council Decision Required Not Applicable

EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:- Cllr D Jenkins

Directorate:
Corporate Services

Name of Head of Service:
Phil Sexton

Report Author:
Helen Pugh

Designations:
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EXECUTIVE SUMMARY
Audit Committee
22nd March 2016

SUBJECT

INTERNAL AUDIT PLAN

The Audit Plan was compiled using risk assessment principles and taking into account changes in services. The adoption of a three year rolling programme provides assurance of the adequacy of audit coverage and allows the flexibility to deal with changes to systems within the Authority. The Plan assumes full staffing within the Section of 9.4 FTE staff.

The following reports are attached for approval

REPORT A Internal Audit Plan 2016/17 – Summary of Resources Available

REPORT B Internal Audit Plan 2016/19 – Detailed Listing of Planned Reviews

**DETAILED REPORT
ATTACHED?**

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Phil Sexton Head of Audit, Risk & Procurement

| | | | | | | |
|-----------------------------|-------|---------|------|------------------------|----------------------------|-----------------|
| Policy and Crime & Disorder | Legal | Finance | ICT | Risk Management Issues | Organisational Development | Physical Assets |
| NONE | NONE | NONE | NONE | NONE | NONE | NONE |

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Phil Sexton Head of Audit, Risk & Procurement

1. Local Member(s) N/A
2. Community / Town Council N/A
3. Relevant Partners N/A
4. Staff Side Representatives and other Organisations N/A

Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:

THESE ARE DETAILED BELOW

| Title of Document | File Ref No. | Locations that the papers are available for public inspection |
|------------------------------|--------------|---|
| Strategic Audit Plan 2016-18 | AC 28-03-14 | Internal Audit Unit |