

Governance & Audit Committee

17 December 2021

INTERNAL AUDIT PLAN UPDATE 2021/22

Recommendations / key decisions required:

To receive the report.

Reasons:

Regular progress report to be presented to each Governance & Audit Committee meeting.

Relevant scrutiny committee to be consulted: N/A

Cabinet Decision Required: N/A

Council Decision Required: N/A

CABINET MEMBER PORTFOLIO HOLDER: Cllr D Jenkins

Directorate:

Corporate Services

Name of Head of Service:

Helen Pugh

Report Author:

Caroline Powell

Designation:

Head of Revenues and
Financial Compliance

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**Governance & Audit Committee
17 December 2021**

Internal Audit Plan Update 2021/22

1. BRIEF SUMMARY OF PURPOSE OF REPORT.

To provide Members with progress of the Internal Audit Plan. The following Reports are attached:

REPORT A: Internal Audit Plan Progress Report for 2021/22

An amendment has been made to the Internal Audit plan, with the requirement for Internal Audit to undertake work to assist with the payment of the Winter Fuel Support Scheme, this has been included on the plan in place of Cyber Security.

The rationale for removing Cyber Security from the current plan is that an Internal Audit review has only recently been concluded on Cyber Security (Final Report issued 25th June 2021), an Audit Wales review has been recently undertaken and a simulated phishing exercise is currently underway across the Authority to assist with training staff on Cyber Security. The proposal is to include Cyber Security on the Internal Audit Plan for 2022/23.

REPORT B: Summary of Completed Final Reports Relating to Key Financial Systems

A Summary of Final Reports for the Key Systems completed during the last Quarter is attached.

1.	NNDR
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DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: H L Pugh – Head of Revenues and Financial Compliance

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	NONE

Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: H L Pugh – Head of Revenues and Financial Compliance

1. Scrutiny Committee: Not Applicable
2. Local Member(s): Not Applicable
3. Community / Town Council: Not Applicable
4. Relevant Partners: Not Applicable
5. Staff Side Representatives and other Organisations: Not Applicable

Section 100D Local Government Act, 1972 – Access to Information List of Background Papers used in the preparation of this report:

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Internal Audit Plan 2021/22	AC 26-03-21	Agenda for Governance & Audit Committee on Friday, 26th March, 2021, 2.00 pm