

INTERNAL AUDIT PLAN 2021/22									
2021/22	% Plan Completion to Date	52.0%							
Job No	Departments	Days Planned	Pre. Audit Meeting	Terms of Reference Issued	Commenced	Field Work Complete	Draft Report Issued	Complete / Final Report Issued	Assurance Rating / Engagement Type
Fundamentals									
1121001	Creditor Payments	30							
1121002	Expenditure	30	*	*	*	*			
1121003	NNDR	20	*	*	*	*	*	*	Acceptable
1121004	Payroll	30	*	*	*	*			
1121005	Travel & Subsistence	20	*	*	*	*			
	Total Audit Days for Fundamentals	130							
Corporate Governance Assurance									
2121001	Annual Governance Statement/Corporate Governance Arrangements	20							
2121002	Performance Management	20							
2121003	Welsh Language Standards	20							
7221002	Winter Fuel Support Scheme	15	*	/	*				
	Total Audit Days for Corporate Governance Assurance	75							
COVID-19									
3121001	Covid-19 Duplication Checks (NNDR and Self-Isolation Payments)	20	*	*	*				
3121002	COVID-19 Free School Meals Payments	20	*	*	*				
3121003	COVID-19 Systems and Processes	20	*	/	*				
3121004	COVID-19 Working Groups	20	*	/	*				
	Total Audit Days for COVID-19	80							
Corporate Review Work									
4121001	Brexit	20							
4121002	External Arrangements	20	*	*	*				
4121003	Contract Management	15							
4121004	Procurement	15	*	*	*	*	*	*	Acceptable
4121005	Safeguarding - Adults	10							
4121006	Safeguarding - Children	10							
4121007	Staffing Matters	20	*	*	*				
	Total Audit Days for Corporate Review Work	110							
Counter Fraud									
5121001	Fraud Prevention, Detection and Investigation	40	*	/	*				
5121002	National Fraud Initiative	30	*	/	*	*	/	*	Investigatory/Assurance
5121003	Proactive Fraud Testing	20	*	/	*				
	Total Audit Days for Counter Fraud Work	90							
Grants & Certification									
6121001	Burry Port Harbour	10	*	/	*	*	/	*	Accounts Return

6121002	Children & Communities Grant	25	*	/	*	*	/	*	Certification
6121003	Education Improvement Grant - EOY	15	*	*	*	*	*	*	Certification
6121004	Education Improvement Grant - Q3	15							
6121006	Enable Grant	10	*	/	*	*	/	*	Certification
6121007	HSG - Homelessness	12	*	/	*	*	/	*	Certification
6121008	HSG - Rent Smart Wales	10	*	/	*	*	/	*	Certification
6121009	HSG - Supporting People	13	*	/	*	*	/	*	Certification
6121010	Local Authority Education Grant	20	*	/	*				
6121011	Other Grants (where assurance is required for sign-off)	20	*	/	*	*	/	*	Assurance for Sign Off
6121012	Wales Pension Partnership	5	*	/	*	*	/	*	Accounts Return
6121013	Post 16 Grant	10	*	/	*				
6121014	Pupil Deprivation Grant - EOY	15	*	*	*	*	*	*	Certification
6121015	Pupil Deprivation Grant - Q3	15							
6121017	Trust Funds - Arbour Stephens	5	*	*	*	*	/	*	Certification
6121018	Trust Funds - Dyfed Welsh Church Fund	5	*	*	*	*	*		
6121019	Trust Funds - Minnie Morgan	5	*	*	*	*	/	*	Certification
6121020	Trust Funds - Oriol Myrddin	5	*	*	*	*	/	*	Certification
6121021	Regional Development Co-ordinator Grant	5	*	/	*	*	/	*	Certification
	Total Audit Days for Grants & Certification	220							
	Chief Executive's Department (not incl IT)								
7121001	Civil Registrations Service	10							
7121002	People Management & Performance	20							
7121003	Regeneration Kickstart	10	*	*	*				
7121003	Regeneration & Economic Development	10							
7121004	Surplus Properties	15							
	Total Audit Days for Chief Executive's Department (not incl IT)	65							
	Chief Executive's Department: IT								
7221001	Cloud Solutions	15	*	*	*				
7221003	Disaster Recovery and Business Continuity	15	*						
	Total Audit Days for Chief Executive's Department: IT	30							
	Communities								
7321001	Appointeeships	15							
7321002	Housing Voids	15	*	*	*				
7321003	Affordable Homes	15							
7321004	Leisure Centres	20	*	*	*				
7321005	Pembrey Ski Centre	5	*	*	*	*	*		
7321006	Social Care (Assignment to be agreed)	20							
	Total Audit Days for Communities	90							
	Corporate Services								
7421001	Financial Management Other	15	*	*	*	*			
7421002	Insurance	15	*	*	*				
	Total Audit Days for Corporate Services	30							

