

Risk	Focus of the Risk	Target Risk Score	Current Risk Score	Current Status	Responsible Party	Comments
G.1	WPP fails to comply with relevant regulation and LGPS guidance.	10	10	👉	OWG (RR Sub-Group)	<ul style="list-style-type: none"> <li>New pooling guidance is expected later this year but exact dates are still to be confirmed.</li> </ul>
G.2	The WPP becomes overly dependent on a single or limited decisions maker or decision-making body.	6	3	👉	OWG (RR Sub-Group)	<ul style="list-style-type: none"> <li>Action to appoint a Scheme Member Rep on the JGC – who will provide an additional layer of challenging and variety of perspective - is on-going and should be in place by Q2 2022.</li> </ul>
G.3	The WPP fails to adhere to its pre-agreed budget.	4	8	👉	HA/OWG	<ul style="list-style-type: none"> <li>Budget monitoring activities have continued over the past year and further controls have been put in place to monitor and report on provider fees.</li> </ul>
G.4	The WPP fails to maintain suitable records, documentation is not filed in a suitable manner and personal data is not stored securely.	8	8	👉	HA	<ul style="list-style-type: none"> <li>No significant updates</li> </ul>
G.5	The WPP fails to provide suitable and accurate accounts by the statutory deadline.	4	4	👉	HA	<ul style="list-style-type: none"> <li>The probability of the target &amp; current score has been reduced to 1, which has reduced the overall score to 4. This is due to the very defined process WPP follows to produce accounts by the statutory deadline and the experience of previous year's returns.</li> </ul>
G.6	The WPP does not manage conflicts of interests.	3	3	👉	HA/OWG	<ul style="list-style-type: none"> <li>No significant updates</li> </ul>