

GOVERNANCE & AUDIT COMMITTEE

30 SEPTEMBER 2022

INTERNAL AUDIT PLAN UPDATE 2022/23

Recommendations / key decisions required:

To receive the report.

Reasons:

Regular progress report to be presented to each Governance & Audit Committee meeting.

Cabinet Decision Required: N/A

Council Decision Required: N/A

CABINET MEMBER PORTFOLIO HOLDER: Cllr A Lenny

Directorate:

Corporate Services

Name of Head of Service:

Helen Pugh

Report Author:

Caroline Powell

Designation:

Head of Revenues and
Financial Compliance

Principal Auditor

Tel No.: 01267 246223

E Mail Address:

HLPugh@carmarthenshire.gov.uk

CaPowell@carmarthenshire.gov.uk

EXECUTIVE SUMMARY

GOVERNANCE & AUDIT COMMITTEE 30 SEPTEMBER 2022

INTERNAL AUDIT PLAN UPDATE 2022/23

1. BRIEF SUMMARY OF PURPOSE OF REPORT.

To provide Members with progress of the Internal Audit Plan. The following Reports are attached:

REPORT A: Internal Audit Plan Progress Report for 2022/23

REPORT B: Priority 1 Recommendation Reports

This Section includes reviews completed where systems have one or more Fundamental Control Weaknesses or involve reviews which the Chair of the Governance & Audit Committee and the Head of Revenues & Financial Compliance have agreed should be brought to the Committee:

| | |
|----|---|
| 1. | School Meals – Special Dietary Requirements |
| 2. | Travel & Subsistence |

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: H L Pugh – Head of Revenues and Financial Compliance

| | | | | | | |
|---|-------------|------------|-------------|------------------------|-----------------------|-----------------|
| Policy, Crime & Disorder and Equalities | Legal | Finance | ICT | Risk Management Issues | Staffing Implications | Physical Assets |
| NONE | NONE | YES | NONE | NONE | NONE | NONE |

Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: H L Pugh – Head of Revenues and Financial Compliance

1. Scrutiny Committee: Not Applicable
2. Local Member(s): Not Applicable
3. Community / Town Council: Not Applicable
4. Relevant Partners: Not Applicable
5. Staff Side Representatives and other Organisations: Not Applicable

| | |
|---|-----|
| CABINET MEMBER PORTFOLIO HOLDER AWARE / CONSULTED? | YES |
|---|-----|

Section 100D Local Government Act, 1972 – Access to Information List of Background Papers used in the preparation of this report:

| Title of Document | File Ref No. | Locations that the papers are available for public inspection |
|-----------------------------|--------------|---|
| Internal Audit Plan 2022/23 | AC 11-03-22 | Agenda for Governance & Audit Committee on Friday, 11th March, 2022, 10.00 am |