

INTERNAL AUDIT PLAN 2022/23										
2022/23 Plan Completion to Date: 76.0%										
Job No	Departments	Days Planned	Pre-Audit Meeting	Terms of Reference Issued	Commenced	Field Work Complete	Draft Report Issued	Complete / Final Report Issued	Actual Days	Assurance Rating / Engagement Type
Fundamentals										
1122001	Capital Accounting including Fixed Asset Register	20	*	*	*					
1122002	Cash & Bank	15	*	*	*	*	*	*	15	Acceptable
1122003	Creditor Payments	30	*	*	*					
1122004	Debtors System	20	*	*	*	*				
1122005	Housing Benefits	20	*	*	*	*	*	*	22	High
1122006	Payroll System	30	*	*	*					
1122007	Pensions Payroll System	20	*	*	*	*	*	*	18	High
1122008	VAT	15	*	*	*	*	*	*	17	Acceptable
Corporate Governance Assurance										
2122001	Corporate Governance Arrangements	20	*	*	*					
2122002	Regulatory Recommendations	10								
2122003	Data Protection	20	/	/	/	/	/	/	0	DEFERRED
2122004	Welsh Language Standards	20	*	*	*					
2122005	Freedom of Information	20	*	*	*	*				
2122006	Risk Management Arrangements	10	*	*	*	*	*			
COVID-19										
3122001	COVID-19 Duplication Checks and Payments	40	*	/	*					
3122002	COVID-19 Systems and Processes	20	*	/	*					
3122003	COVID-19 Working Groups	10	*	/	*					
Corporate Review Work										
4122001	CCC Companies	30								
4122002	Contract Management	20	*	*	*					
4122003	Procurement	20	*	*	*	*	*	*	22	Acceptable
4122004	Safeguarding - Adults	10	*	*	*	*				
4122005	Safeguarding - Children	10	*	*	*	*				
4122006	Staffing Matters	20	*	*	*	*	*	*	20	Acceptable
Counter Fraud										
5122001	Fraud Prevention, Detection and Investigation	40	*	/	*					
5122002	Proactive Fraud Testing	20	*	/	*					
Grants & Certification										
6122001	Burry Port Harbour	10	*	/	*	*	/	*	12	Accounts Return
6122002	Children & Communities Grant	25	*	/	*	*	/	*	16	Certification
6122003	RCSIG - Regional Consortia Support Improvement Grant (EIG) - EOY	15	*	/	*	*	/	*	37	Certification
6122004	RCSIG - Regional Consortia Support Improvement Grant (EIG) - Q3	15	*	/	*					
6122005	Enable Grant	10	*	/	*	*	/	*	11	Certification
6122006	Housing Support Grant - Homelessness	12	*	/	*	*	/	*	7	Certification

