

## Audit Committee 8<sup>th</sup> July 2016

**Subject:** Internal Audit Annual Report 2015/16

**Purpose:** Annual Internal Audit Report to Members of the Audit Committee

### Recommendations / key decisions required:

To receive the report

### Reasons:

Annual report required to meet the requirements of Internal Audit Standards.

### Relevant scrutiny committee to be consulted:

Not Applicable

**Exec Board Decision Required**

Not Applicable

**Council Decision Required**

Not Applicable

EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:- Cllr D Jenkins

#### Directorate:

Corporate Services

#### Name of Head of Service:

Phil Sexton

#### Report Author:

Helen Pugh

#### Designations:

Head of Audit, Risk &  
Procurement

Audit & Risk Manager

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**EXECUTIVE SUMMARY**  
**Audit Committee**  
**8<sup>th</sup> July 2016**

|   |
|---|
| <b>SUBJECT</b><br><b>INTERNAL ANNUAL REPORT 2015/16</b> |
|---|

This report provides an opinion by the Authority's designated Head of Internal Audit (Audit & Risk Manager) of the adequacy and effectiveness of the Council's control environment for the year April 2015 to March 2016, based on the work undertaken in the 2015/16 Internal Audit Plan, agreed by Audit Committee

The following Report is attached:

1. Annual Report from the Audit and Risk Manager 2015/16.

|                                   |            |
|-----------------------------------|------------|
| <b>DETAILED REPORT ATTACHED ?</b> | <b>YES</b> |
|-----------------------------------|------------|

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Phil Sexton Head of Audit, Risk & Procurement

|   |               |                |             |                                |                               |                         |
|---|---------------|----------------|-------------|--------------------------------|-------------------------------|-------------------------|
| Policy, Crime & Disorder and Equalities<br>NONE | Legal<br>NONE | Finance<br>YES | ICT<br>NONE | Risk Management Issues<br>NONE | Staffing Implications<br>NONE | Physical Assets<br>NONE |
|---|---------------|----------------|-------------|--------------------------------|-------------------------------|-------------------------|

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Phil Sexton Head of Audit, Risk & Procurement

1. **Scrutiny Committee** : Not Applicable
2. **Local Member(s)** : Not Applicable
3. **Community / Town Council** : Not Applicable
4. **Relevant Partners** : Not Applicable
5. **Staff Side Representatives and other Organisations** : Not Applicable

**Section 100D Local Government Act, 1972 – Access to Information**

**List of Background Papers used in the preparation of this report:**

**THESE ARE DETAILED BELOW**

| Title of Document            | File Ref No. | Locations that the papers are available for public inspection |
|------------------------------|--------------|---|
| Strategic Audit Plan 2014-17 | AC 28-03-14  | Internal Audit Unit   |