

GOVERNANCE & AUDIT COMMITTEE

29 SEPTEMBER 2023

INTERNAL AUDIT PLAN UPDATE 2023/24

Recommendations / key decisions required:

To receive the report.

Reasons:

Regular progress report to be presented to each Governance & Audit Committee meeting.

Relevant scrutiny committee to be consulted: N/A

Cabinet Decision Required: N/A

Council Decision Required: N/A

CABINET MEMBER PORTFOLIO HOLDER: Cllr A Lenny

Directorate:

Corporate Services

Name of Head of Service:

Helen Pugh

Report Author:

Caroline Powell

Designation:

Head of Revenues and
Financial Compliance

Principal Auditor

Tel No.: 01267 246223

E Mail Address:

HLPugh@carmarthenshire.gov.uk

CaPowell@carmarthenshire.gov.uk

EXECUTIVE SUMMARY

GOVERNANCE & AUDIT COMMITTEE 29 SEPTEMBER 2023

INTERNAL AUDIT PLAN UPDATE 2023/24

BRIEF SUMMARY OF PURPOSE OF REPORT.

To provide Members with progress of the Internal Audit Plan. The following Reports are attached:

Report A: Internal Audit Plan 2023/24 Progress Report

Report B: Summary of Completed Final Reports Relating to Key Financial Systems
A summary of the Final Report for the following key financial system completed during the last Quarter is attached:

Assignment	Final Report Issued	Assurance Rating
Payroll	28 July 2023	Acceptable

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: H L Pugh – Head of Revenues and Financial Compliance

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	NONE

Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: H L Pugh – Head of Revenues and Financial Compliance

1. Scrutiny Committee: Not Applicable
2. Local Member(s): Not Applicable
3. Community / Town Council: Not Applicable
4. Relevant Partners: Not Applicable
5. Staff Side Representatives and other Organisations: Not Applicable

CABINET PORTFOLIO HOLDER(S)
AWARE/CONSULTED: Yes

Section 100D Local Government Act, 1972 – Access to Information List of Background Papers used in the preparation of this report:

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Internal Audit Plan 2023/24	G&AC 17-03-23	Agenda for Governance & Audit Committee on Friday, 17th March, 2023, 10.00 am