

**GOVERNANCE & AUDIT COMMITTEE
27 OCTOBER 2023**

**CARMARTHENSHIRE COUNTY COUNCIL'S
CORPORATE RISK REGISTER 2023/24**

Recommendations / key decisions required:

- To receive the Corporate Risk Register

Reasons:

- To ensure that the Governance and Audit Committee are made aware of the Corporate Risks

Relevant scrutiny committee to be consulted: N/A

Cabinet Decision Required: No

Council Decision Required: No

CABINET MEMBER PORTFOLIO HOLDER: Cllr A Lenny

Directorate:

Corporate Services

Name of Head of Service:

Helen Pugh

Report Author:

Helen Pugh

Designation:

Head of Revenues and
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GOVERNANCE & AUDIT COMMITTEE

27 OCTOBER 2023

CARMARTHENSHIRE COUNTY COUNCIL'S CORPORATE RISK REGISTER 2023/24

The Authority maintains a Corporate Risk Register to evaluate its exposure to key strategic risks. The Corporate Assessment recommended that the Corporate Risk Register as agreed by CMT should be shared with the Governance & Audit Committee. Review and monitoring of the Corporate Risk Register is delegated to the Governance & Audit Committee in line with the Terms of Reference of the Committee.

The Register will be reviewed by the Governance & Audit Committee at its March and September meetings.

Removal of risks from the Corporate Risk Register

CRR190066 – *Insufficient numbers of social work and care staff to provide sufficient assessment and care capacity which provides a risk to vulnerable people in not having needs assessed and being unsupported and potentially unsafe in the community.*

Approval given by CMT to remove, but the situation is closely monitored on an ongoing basis at departmental level, should the position deteriorate in the future, further discussion for escalation of risk to be considered. Risk CRR190067 remains on the register regarding the staffing requirement to provide support to vulnerable adults.

CRR190013 – *Delivery of the City Deal (Outcomes / Budget)*

Approval given by CMT to remove – now operational and embedded, note the risk is transferred to Chief Executive's Departmental Risk Register for monitoring.

CRR190026 – *Ash die back and the risk to public safety.*

Approval given by CMT to remove – assessments and follow up actions regularised into normal highways management - scheduled highway inspections routinely take into account the presence of defective trees, and referred to Tree safety Officer for further inspection and determination of appropriate action implemented.

CRR190046 - *Covid19 – Strategic- Failure to ensure the expected progress and outcomes of all Carmarthenshire learners for the period that operation of schools and learning establishments are affected by the pandemic.*

Approval given by CMT to remove – risk directly related to COVID. Note: Strategic risk already included in the register CRR190018 – Failure to deliver a quality Education Service.

CRR190065 - *Failure to determine or secure Extension of Time (EOT) for Planning applications which are outside the determination date.*

Approval given by CMT to remove – as regularly reported consistent progress has been made – considered now to be a Departmental Risk.

CRR190030 - *Risk to social care delivery/business continuity in relation to in-house and externally commissioned domiciliary care, residential care and day services due to fluctuating instances of Covid-19 transmission across the workforce and associated staff absence.*
Approval given by CMT to remove – risk raised in response to Covid, note that there is an overarching authority wide risk CRR190061 attracting, recruiting and retaining staff risk on the CRR.

CRR190064 - *Failure to address Significant performance issues in development management are undermining effective service delivery in planning.*
Approval given by CMT to remove – due to improvement in performance. To be monitored at Departmental level.

CRR190051 - *Covid19 – Strategic Failure to recover from the COVID19 impact and non-delivery of departmental objectives.*
Approval given by CMT to remove – risk directly related to Covid.

New risks added to the Corporate Risk Register

CRR190078 - Failure to address recent concerns regarding the presence of Reinforced Autoclaved Aerated Concrete (RAAC) in non-housing properties.

CRR190079 - Managing Premises with emphasis of Fire Safety in the Hybrid working environment.

New risks suggested but decision taken that they should be managed through Departmental Risk Registers

NONE

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: H L Pugh – Head of Revenues and Financial Compliance

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
None	None	None	None	Yes	None	None

Risk Aims of the Corporate Risk Register to collate strategic risks facing the Authority.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: H L Pugh – Head of Revenues and Financial Compliance

1. Scrutiny Committee: Not Applicable
2. Local Member(s): Not Applicable
3. Community / Town Council: Not Applicable
4. Relevant Partners: Not Applicable
5. Staff Side Representatives and other Organisations: Not Applicable

CABINET PORTFOLIO HOLDER(S)
 AWARE/CONSULTED: No

Section 100D Local Government Act, 1972 – Access to Information
 List of Background Papers used in the preparation of this report:

THERE ARE NONE