

| Subject area and brief description of nature of report | Lead Department | Responsible Officer | Jul-21 | Sep-21 | Dec-21 | Mar-22 |
|---|----------------------|---|-------------|--------|--------|--------|
| Appointment of Audit Committee: - Chair - Vice Chair | Corporate Services | Audit Committee | ✓ | | | |
| Annual Audit Report | Corporate Services | Head of Revenues and Financial Compliance | ✓ | | | |
| Forward Work Programme | Corporate Services | Head of Revenues and Financial Compliance | ✓ | ✓ | ✓ | ✓ |
| Internal Audit Plan Update | Corporate Services | Head of Revenues and Financial Compliance | ✓ | ✓ | ✓ | ✓ |
| Internal Audit indicative three year plan | Corporate Services | Head of Revenues and Financial Compliance | | | | ✓ |
| Assurance Reviews: - Fundamental financial systems - 3* reports | Corporate Services | Head of Revenues and Financial Compliance | As Required | | | |
| Progress Report - Delivery of External Audit Recommendations | ICT & Policy | Performance Planning Section | | | ✓ | |
| Progress Report - Delivery of Internal Audit Recommendations | Corporate Services | Head of Revenues and Financial Compliance | | | | ✓ |
| Internal Audit Progress Updates requested by Audit Committee: | Corporate Services | Head of Revenues and Financial Compliance | As Required | | | |
| Progress reports as requested by Audit Committee | | | | | | |
| - Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities | Communities | Head of Mental Health and Learning Disabilities | | ✓ | | |
| - Schools' Deficits and Surpluses | Education & Children | Head of Education and Inclusion Services | ✓ | | | |
| Approval of Audit Charter | Corporate Services | Head of Revenues and Financial Compliance | As Required | | | |
| Approval of Strategies / Rules & Regulations | Corporate Services | Head of Revenues and Financial Compliance | As Required | | | |
| Annual Anti-Fraud and Corruption Report | Corporate Services | Head of Revenues and Financial Compliance | ✓ | | | |
| Receive the Corporate Risk Register | Corporate Services | Head of Revenues and Financial Compliance | | ✓ | | ✓ |
| Opportunity for Members to discuss Risks | Corporate Services | Risk owners | | ✓ | | ✓ |
| Receive the Annual Complaints Report | ICT & Policy | Corporate Policy & Partnership Manager | ✓ | | | |
| Statement of Accounts including Annual Governance Statement for Carmarthenshire County Council - To be received - To be approved | Corporate Services | Head of Financial Services | ✓ | | ✓ | |
| Statement of Accounts including Annual Governance Statement for Dyfed Pension Fund - To be received - To be approved | Corporate Services | Head of Financial Services | | ✓ | ✓ | |
| Burry Port Harbour Accounting Statement - To be received - To be approved | Corporate Services | Head of Financial Services | ✓ | | ✓ | |
| Audit enquiries to those charged with governance and management for: - Carmarthenshire County Council - Dyfed Pension Fund | Corporate Services | Head of Financial Services | | ✓ | | |
| Single Tender Action | Corporate Services | Director of Corporate Services | As Required | | | |
| Minutes for noting: - Grants Panel - Corporate Governance Group - Risk Management Steering Group | Corporate Services | Head of Revenues and Financial Compliance Head of Financial Services | ✓ | ✓ | ✓ | ✓ |
| Audit Wales: - Audit Plan Update | | | ✓ | ✓ | ✓ | |
| - Financial Statements – ISA260 Report presented to those charged with Governance in relation to the Statement of Accounts for: o Carmarthenshire County Council o Dyfed Pension Fund | | | | ✓ | | |
| - Annual returns – Reports re independent examination for: o Burry Port Harbour Authority | | | | ✓ | | |
| - Letter of Representation o Carmarthenshire County Council o Dyfed Pension Fund | Corporate Services | Audit Wales | | ✓ | | |
| - Annual Audit Letter o Carmarthenshire County Council | | | | | | ✓ |
| - Audit Plans, including information on fees o Carmarthenshire County Council o Dyfed Pension Fund | | | | | | ✓ |