

# Governance and Audit Committee

## 16<sup>th</sup> July 2021

### Code of Corporate Governance

#### Recommendations / key decisions required:

1. To consider the Code of Corporate Governance

#### Reasons:

Governance and Audit Committee approval.

Relevant scrutiny committee to be consulted Yes – Audit Committee

Exec. Board Decision Required NO

Council Decision Required NO

EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER: Cllr David Jenkins

Directorate:

Corporate Services

Name of Head of Service:

Helen Pugh

Report Author:

Corporate Governance Group

Designations:

Head of Revenues &  
Financial Compliance

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**EXECUTIVE SUMMARY**  
**Governance and Audit Committee**  
**16<sup>th</sup> July 2021**

<b>Code of Corporate Governance</b>
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The Council sees Corporate Governance as *“doing the right things, in the right way, for the right people in a timely, inclusive, open, honest and accountable manner.”* It comprises the systems and processes, and also the culture and values, by which the Council is directed and controlled and how it accounts to and engages with its citizens.

Strong, transparent and responsive governance enables the Council to put citizens first by pursuing its aims and priorities effectively, and by underpinning them with appropriate mechanisms for managing performance and risk. In order to maintain citizens’ confidence, these mechanisms must be sound and be seen to be sound. The Governance Framework comprises the systems and processes, and cultures and values, by which the Authority is directed and controlled and its activities through which it accounts to, engages with and leads the community. It enables the Authority to monitor the achievement of its strategic objectives and to consider whether those objectives have led to the delivery of appropriate, cost-effective services.

The attached Code of Corporate Governance sets out Carmarthenshire County Council’s approach to achieving and maintaining good corporate governance. This Code has been updated and reviewed by the Corporate Governance Group to recognise policies and processes that are consistent with the principles of the Chartered Institute of Public Finance and Accountancy (CIPFA) and the Society of Local Authority Chief Executives and Senior Managers (SOLACE) Framework ‘Delivering Good Governance in Local Government’ (Guidance Notes for Welsh Authorities 2016 Edition – Published September 2016). This framework identified 7 key principles of good governance which complement the Well-being of Future Generations Act requirements.

<b>DETAILED REPORT ATTACHED?</b>	<b>YES</b>
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# IMPLICATIONS

**I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:**

**Signed:** Helen Pugh, Head of Revenues & Financial Compliance

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
<b>YES</b>	<b>YES</b>	<b>NONE</b>	<b>NONE</b>	<b>YES</b>	<b>NONE</b>	<b>NONE</b>

## **Policy, Crime & Disorder and Equalities / Legal**

The Well-being of Future Generations (Wales) Act. This document ensures that the wellbeing objectives are integrated into our corporate governance approach.

Principles of the Chartered Institute of Public Finance and Accountancy (CIPFA) and the Society of Local Authority Chief Executives and Senior Managers (SOLACE) Framework 'Delivering Good Governance in Local Government' (Guidance Notes for Welsh Authorities 2016 Edition – Published September 2016).

## **Risk Management Issues**

Robust Governance Framework comprising the systems, processes and cultures & values, by which the Authority is directed and controlled and its activities through which it accounts to, engages with and leads the community, is paramount to deliver and monitor achievements of strategic objectives and to consider whether those objectives have led to the delivery of appropriate, cost-effective and efficient services.

# CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Helen Pugh, Head of Revenues & Financial Compliance

**1. Scrutiny Committee**

N/A

**2. Local Member(s)**

N/A

**3. Community / Town Council**

N/A

**4. Relevant Partners**

N/A

**5. Staff Side Representatives and other Organisations**

N/A

**EXECUTIVE BOARD PORTFOLIO  
HOLDER(S) AWARE/CONSULTED**

YES

Cllr David Jenkins

**Section 100D Local Government Act, 1972 – Access to Information  
List of Background Papers used in the preparation of this report:**

**THESE ARE DETAILED BELOW**

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Future Generations Commissioner for Wales Procuring for Well-being		<p><b>Cymraeg:</b>  <a href="https://www.futuregenerations.wales/wp-content/uploads/2021/02/CYM-Section-20-Procurement-Review.pdf">https://www.futuregenerations.wales/wp-content/uploads/2021/02/CYM-Section-20-Procurement-Review.pdf</a></p> <p><b>English:</b> <a href="https://www.futuregenerations.wales/wp-content/uploads/2021/02/ENG-Section-20-Procurement-Review.pdf">https://www.futuregenerations.wales/wp-content/uploads/2021/02/ENG-Section-20-Procurement-Review.pdf</a></p>