

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Oct-21	Dec-21	Mar-22	Jul-22	Sep-22
Appointment of Audit Committee: - Chair - Vice Chair	Corporate Services	Audit Committee				✓	
Annual Audit Report	Corporate Services	Head of Revenues and Financial Compliance				✓	
Forward Work Programme	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	✓
Internal Audit Plan Update	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	✓
Internal Audit indicative three year plan	Corporate Services	Head of Revenues and Financial Compliance			✓		
Assurance Reviews: - Fundamental financial systems - 3* reports	Corporate Services	Head of Revenues and Financial Compliance	As Required				
Progress Report - Delivery of External Audit Recommendations	ICT & Policy	Performance Planning Section		✓			
Progress Report - Delivery of Internal Audit Recommendations	Corporate Services	Head of Revenues and Financial Compliance			✓		
Internal Audit Progress Updates requested by Audit Committee:	Corporate Services	Head of Revenues and Financial Compliance	As Required				
Progress reports as requested by Audit Committee							
- Strategic Commissioning of Accommodation Services for Adults with Learning Disabilities	Communities	Head of Mental Health and Learning Disabilities		✓			
- Schools' Deficits and Surpluses	Education & Children	Head of Education and Inclusion Services				✓	
Approval of Audit Charter	Corporate Services	Head of Revenues and Financial Compliance	As Required				
Approval of Strategies / Rules & Regulations	Corporate Services	Head of Revenues and Financial Compliance	As Required				
Annual Anti-Fraud and Corruption Report	Corporate Services	Head of Revenues and Financial Compliance				✓	
Receive the Corporate Risk Register	Corporate Services	Head of Revenues and Financial Compliance	✓		✓		
Opportunity for Members to discuss Risks	Corporate Services	Risk owners	✓		✓		
Receive the Annual Complaints Report	ICT & Policy	Corporate Policy & Partnership Manager				✓	
Statement of Accounts including Annual Governance Statement for Carmarthenshire County Council - To be received - To be approved	Corporate Services	Head of Financial Services				✓	✓
Statement of Accounts including Annual Governance Statement for Dyfed Pension Fund - To be received - To be approved	Corporate Services	Head of Financial Services	✓ ✓				
Burry Port Harbour Accounting Statement - To be received - To be approved	Corporate Services	Head of Financial Services				✓	✓
Audit enquiries to those charged with governance and management for: - Carmarthenshire County Council - Dyfed Pension Fund	Corporate Services	Head of Financial Services					✓
Single Tender Action	Corporate Services	Director of Corporate Services	As Required				
Minutes for noting: - Grants Panel - Corporate Governance Group - Risk Management Steering Group	Corporate Services	Head of Revenues and Financial Compliance Head of Financial Services	✓	✓	✓	✓	✓
Audit Wales: - Audit Plan Update				✓		✓	✓
- Financial Statements – ISA260 Report presented to those charged with Governance in relation to the Statement of Accounts for: o Carmarthenshire County Council o Dyfed Pension Fund			✓				✓
- Annual returns – Reports re independent examination for: o Burry Port Harbour Authority	Corporate Services	Audit Wales					✓
- Letter of Representation o Carmarthenshire County Council o Dyfed Pension Fund			✓				✓
- Annual Audit Letter o Carmarthenshire County Council					✓		
- Audit Plans, including information on fees o Carmarthenshire County Council o Dyfed Pension Fund					✓		