

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Sep-22	Oct-22	Dec-22	Mar-23	Jul-23	
Appointment of Audit Committee: - Chair - Vice Chair	Corporate Services	Governance & Audit Committee					✓	
Annual Audit Report	Corporate Services	Head of Revenues and Financial Compliance					✓	
Forward Work Programme	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	✓	
Internal Audit Plan Update	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	✓	
Internal Audit indicative three year plan	Corporate Services	Head of Revenues and Financial Compliance				✓		
Assurance Reviews: - Fundamental financial systems - 3* reports	Corporate Services	Head of Revenues and Financial Compliance	As Required					
Progress Report - Delivery of External Audit Recommendations	ICT & Policy	Performance Planning Section			✓			
Progress Report - Delivery of Internal Audit Recommendations	Corporate Services	Head of Revenues and Financial Compliance				✓		
Internal Audit Progress Updates requested by Audit Committee:	Corporate Services	Head of Revenues and Financial Compliance	As Required					
Progress reports as requested by Audit Committee								
- Review of Planning Services	Environment	Head of Planning			✓			
- Review of Waste Services	Environment	Head of Waste and Environmental Services			✓			
Approval of Audit Charter	Corporate Services	Head of Revenues and Financial Compliance	As Required					
Approval of Strategies / Rules & Regulations	Corporate Services	Head of Revenues and Financial Compliance	As Required					
Annual Anti-Fraud and Corruption Report	Corporate Services	Head of Revenues and Financial Compliance	✓					
Receive the Corporate Risk Register	Corporate Services	Head of Revenues and Financial Compliance	✓			✓		
Opportunity for Members to discuss Risks	Corporate Services	Risk owners	✓			✓		
Receive the Corporate Annual Report	ICT & Policy	Corporate Policy & Partnership Manager		✓				
Receive the Annual Complaints Report	ICT & Policy	Corporate Policy & Partnership Manager		✓				
Annual Governance Statement for Carmarthenshire County Council - To be received - To be approved	Corporate Services	Head of Revenues and Financial Compliance		✓			✓	
Statement of Accounts for Carmarthenshire County Council - To be received - To be approved	Corporate Services	Head of Financial Services		✓				
Statement of Accounts including Annual Governance Statement for Dyfed Pension Fund - To be received - To be approved	Corporate Services	Head of Financial Services		✓				
Burry Port Harbour Accounting Statement - To be received - To be approved	Corporate Services	Head of Financial Services		✓				
Audit enquiries to those charged with governance and management for: - Carmarthenshire County Council - Dyfed Pension Fund	Corporate Services	Head of Financial Services		✓				
Single Tender Action	Corporate Services	Director of Corporate Services	As Required					
Minutes for noting: - Grants Panel - Corporate Governance Group - Risk Management Steering Group	Corporate Services	Head of Revenues and Financial Compliance Head of Financial Services	✓	✓	✓	✓	✓	
<b>Audit Wales:</b> - Audit Plan Update			✓	✓	✓		✓	
- Financial Statements – ISA260 Report presented to those charged with Governance in relation to the Statement of Accounts for: o Carmarthenshire County Council o Dyfed Pension Fund				✓				
- Annual returns – Reports re independent examination for: o Burry Port Harbour Authority				✓				
- Letter of Representation o Carmarthenshire County Council o Dyfed Pension Fund	Corporate Services	Audit Wales		✓				
- Annual Audit Letter o Carmarthenshire County Council				✓		✓		
- Audit Plans, including information on fees o Carmarthenshire County Council o Dyfed Pension Fund							✓	