

INTERNAL AUDIT PLAN 2022/23

2022/23											Plan Completion to Date: 28.0%	
Job No	Departments	Days Planned	Pre-Audit Meeting	Terms of Reference Issued	Commenced	Field Work Complete	Draft Report Issued	Complete / Final Report Issued	Actual Days	Assurance Rating / Engagement Type		
Fundamentals												
1122001	Capital Accounting including Fixed Asset Register	20										
1122002	Cash & Bank	15										
1122003	Creditor Payments	30										
1122004	Debtors System	20	*	*	*	*						
1122005	Housing Benefits	20	*	*	*							
1122006	Payroll System	30										
1122007	Pensions Payroll System	20	*	*	*	*	*	*	18		High	
1122008	VAT	15	*	*								
Corporate Governance Assurance												
2122001	Annual Governance Statement/Corporate Governance Arrangements	20										
2122002	Regulatory Recommendations	10										
2122003	Data Protection	20										
2122004	Welsh Language Standards	20										
2122005	Freedom of Information	20	*	*	*							
2122006	Risk Management Arrangements	10										
COVID-19												
3122001	COVID-19 Duplication Checks and Payments	40	*	/	*							
3122002	COVID-19 Systems and Processes	20	*	/	*							
3122003	COVID-19 Working Groups	10	*	/	*							
Corporate Review Work												
4122001	CCC Companies	30										
4122002	Contract Management	20	*	*	*							
4122003	Procurement	20	*	*	*							
4122004	Safeguarding - Adults	10	*	*	*							
4122005	Safeguarding - Children	10	*	*	*							
4122006	Staffing Matters	20	*	*	*							
Counter Fraud												
5122001	Fraud Prevention, Detection and Investigation	40	*	/	*							
5122002	Proactive Fraud Testing	20	*	/	*							
Grants & Certification												
6122001	Burry Port Harbour	10	*	/	*	*	/	*	12		Accounts Return	
6122002	Children & Communities Grant	25	*	/	*	*	*					
6122003	RCSIG - Regional Consortia Support Improvement Grant (EIG) - EOY	15	*	*	*							
6122004	RCSIG - Regional Consortia Support Improvement Grant (EIG) - Q3	15										
6122005	Enable Grant	10	*	/	*	*	*					
6122006	Housing Support Grant - Homelessness	12	*	/	*							

