INTERNAL AUDIT PLAN 2022/23

2022/23 **Plan Completion to Date:** **33.0%**

Job No	Departments	Days Planned	Pre. Audit Meeting	Terms of Reference Issued	Commenced	Field Work Complete	Draft Report Issued	Complete / Final Report Issued	Actual Days	Assurance Rating / Engagement Type
	Fundamentals									
1122001	Capital Accounting including Fixed Asset Register	20								
1122002	Cash & Bank	15								
1122003	Creditor Payments	30								
1122004	Debtors System	20	*	*	*	*				
1122005	Housing Benefits	20	*	*	*	*				
1122006	Payroll System	30								
1122007	Pensions Payroll System	20	*	*	*	*	*	*	18	High
1122008	VAT	15	*	*	*					
	Corporate Governance Assurance									
2122001	Annual Governance Statement/Corporate Governance Arrangements	20								
2122002	Regulatory Recommendations	10								
2122003	Data Protection	20								
2122004	Welsh Language Standards	20	*	*	*					
2122005	Freedom of Information	20	*	*	*					
2122006	Risk Management Arrangements	10	*							
	COVID-19									
3122001	COVID-19 Duplication Checks and Payments	40	*	/	*					
3122002	COVID-19 Systems and Processes	20	*	/	*					
3122003	COVID-19 Working Groups	10	*	/	*					
	Corporate Review Work									
4122001	CCC Companies	30								
4122002	Contract Management	20	*	*	*					
4122003	Procurement	20	*	*	*	*				
4122004	Safeguarding - Adults	10	*	*	*					
4122005	Safeguarding - Children	10	*	*	*					
4122006	Staffing Matters	20	*	*	*					
	Counter Fraud									
5122001	Fraud Prevention, Detection and Investigation	40	*	/	*					
5122002	Proactive Fraud Testing	20	*	/	*					
	Grants & Certification									
6122001	Burry Port Harbour	10	*	/	*	*	/	*	12	Accounts Return
6122002	Children & Communities Grant	25	*	/	*	*	*	*	16	Certification
6122003	RCSIG - Regional Consortia Support Improvement Grant (EIG) - EOY	15	*	*	*					
6122004	RCSIG - Regional Consortia Support Improvement Grant (EIG) - Q3	15								
6122005	Enable Grant	10	*	/	*	*	*			
6122006	Housing Support Grant - Homelessness	12	*	/	*	*				

6122007	Housing Support Grant - Rent Smart Wales	10	*	/	*	*					
6122008	Housing Support Grant - Supporting People	13	*	/	*	*					
6122009	Local Authority Education Grant	20									
6122010	Other Grants (where assurance is required for sign-off)	20									
6122011	Wales Pension Partnership	5	*	/	*	*	/	*	4		Accounts Return
6122012	Post 16 Grant	10									
6122013	Pupil Deprivation Grant - EOY	15	*	*	*						
6122014	Pupil Deprivation Grant - Q3	15									
6122015	Trust Funds - Arbour Stephens	5	*	*	*	*	/	*	5		Certification
6122016	Trust Funds - Dyfed Welsh Church Fund	5	*	*	*	*	/	*	9		Certification
6122017	Trust Funds - Minnie Morgan	5	*	*	*	*	/	*	4		Certification
6122018	Trust Funds - Oriel Myrddin	5	*	*	*	*					
6122019	Regional Development Coordinator Grant	5	*	/	*	*	/	*	3		Certification
	Chief Executive's Department (not incl IT)										
7122001	Departmental Income	15	*	*	*						
7122002	Local Government & Elections Act	10									
7122003	Net Zero Carbon	20									
7122004	Regeneration & Economic Development: CRF	10									
7122005	Regeneration & Economic Development	10									
7122006	PMP: Workforce Planning	20									
	Chief Executive's Department: IT										
7222001	Cyber Security	15									
7222002	Disaster Recovery and Business Continuity	15	*	*	*						
	Communities										
7322001	Departmental Income	15	*	*	*						
7322002	Disabled Facilities Grants (DFGs)	15	*	*	*						
7322003	Disrepair Claims	15	*	*	*						
7322004	Leisure: Health, Fitness & Dryside portfolio	20	*								
7322005	Social Care (Assignment to be agreed)	20	*								
	Corporate Services										
7422001	Departmental Income	15	*	*	*						
7422002	Corporate Credit Card	15	*	*	*						
	Education & Children										
7522001	Departmental Income	15	*	*	*						
7522002	Youth Support Service Pre-paid Cards	15	*								
7522003	Schools Expenditure	30	*	*	*	*					
7522004	Schools (incl School Questionnaires)	20	*	*	*						
7522004	School Visits 1	10	*	*	*	*	*				
7522004	School Visits 2	10	*	*	*						
7522005	Welfare Cards	10									
	Environment										

7622001	Departmental Income	15	*	*	*							
7622002	Fleet Management	10	*									
7622003	Waste	15	*	*	*							
7622004	Planning: Development Management	20	*									
7622005	Property	10										
7622005	Property - Consultancy	5	*	*	*							
7622006	Asset Management	15	*	*	*	*						
	Additonal											
8122001	Departmental Advisory Work	40	*	/	*							
8222001	Various Departmental Working Groups	40	*	/	*							