

Subject area and brief description of nature of report	Lead Department	Responsible Officer	Oct-22	Dec-22	Mar-23	Jul-23	Sep-23
Appointment of Audit Committee: - Chair - Vice Chair	Corporate Services	Governance & Audit Committee				✓	
Annual Audit Report	Corporate Services	Head of Revenues and Financial Compliance				✓	
Forward Work Programme	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	✓
Internal Audit Plan Update	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓	✓
Internal Audit indicative three year plan	Corporate Services	Head of Revenues and Financial Compliance			✓		
Assurance Reviews: - Fundamental financial systems - 3* reports	Corporate Services	Head of Revenues and Financial Compliance	As Required				
Progress Report - Delivery of External Audit Recommendations	ICT & Policy	Performance Planning Section		✓			
Progress Report - Delivery of Internal Audit Recommendations	Corporate Services	Head of Revenues and Financial Compliance			✓		
Internal Audit Progress Updates requested by Audit Committee:	Corporate Services	Head of Revenues and Financial Compliance	As Required				
Progress reports as requested by Audit Committee							
- Review of Planning Services	Environment	Head of Planning	✓				
- Review of Waste Services	Environment	Head of Waste and Environmental Services		✓			
Approval of Audit Charter	Corporate Services	Head of Revenues and Financial Compliance	As Required				
Approval of Strategies / Rules & Regulations	Corporate Services	Head of Revenues and Financial Compliance	As Required				
Annual Anti-Fraud and Corruption Report	Corporate Services	Head of Revenues and Financial Compliance					✓
Receive the Corporate Risk Register	Corporate Services	Head of Revenues and Financial Compliance			✓		✓
Opportunity for Members to discuss Risks	Corporate Services	Risk owners			✓		✓
Receive the Corporate Annual Report	ICT & Policy	Corporate Policy & Partnership Manager	✓				
Receive the Annual Complaints Report	ICT & Policy	Corporate Policy & Partnership Manager		✓			
Annual Governance Statement for Carmarthenshire County Council - To be received - To be approved	Corporate Services	Head of Revenues and Financial Compliance	✓ ✓				
Statement of Accounts for Carmarthenshire County Council - To be received - To be approved	Corporate Services	Head of Financial Services	✓ ✓				
Statement of Accounts including Annual Governance Statement for Dyfed Pension Fund - To be received - To be approved	Corporate Services	Head of Financial Services	✓ ✓				
Burry Port Harbour Accounting Statement - To be received - To be approved	Corporate Services	Head of Financial Services	✓ ✓				
Audit enquiries to those charged with governance and management for: - Carmarthenshire County Council - Dyfed Pension Fund	Corporate Services	Head of Financial Services	✓				
Single Tender Action	Corporate Services	Director of Corporate Services	As Required				
Minutes for noting: - Grants Panel - Corporate Governance Group - Risk Management Steering Group	Corporate Services	Head of Revenues and Financial Compliance Head of Financial Services	✓	✓	✓	✓	✓
Audit Wales: - Audit Plan Update			✓	✓		✓	✓
- Financial Statements – ISA260 Report presented to those charged with Governance in relation to the Statement of Accounts for: o Carmarthenshire County Council o Dyfed Pension Fund			✓ ✓				
- Annual returns – Reports re independent examination for: o Burry Port Harbour Authority	Corporate Services	Audit Wales	✓				
- Letter of Representation o Carmarthenshire County Council o Dyfed Pension Fund			✓ ✓				
- Annual Audit Letter o Carmarthenshire County Council					✓		
- Audit Plans, including information on fees o Carmarthenshire County Council o Dyfed Pension Fund						✓	