

GOVERNANCE & AUDIT COMMITTEE

15 DECEMBER 2023

PROGRESS ON REGULATORY REPORT RECOMMENDATIONS

Purpose:

To outline progress on regulatory report recommendations.

THE COMMITTEE IS ASKED TO:-

To review and assess the information contained in Report and provide any recommendations, comments, or advice to the Cabinet Member and / or Director.

Reason(s)

Under the Local Government Act (Wales) 2011, the audit committee should receive the reports from the external auditors and follow up their recommendations. Paragraphs 9.16 to 9.19

CABINET MEMBER PORTFOLIO HOLDER:-

Cllr Philip Hughes, Cabinet Member for Organisation and Workforce

Directorates:	Designations:	Tel Nos. / E-Mail Addresses:
Chief Executive / Corporate Services / Place & Infrastructure / Communities		
<u>Names of Heads of Service:</u>		
Jason Jones	Head of Regeneration, Policy & Digital	JaJones@carmarthenshire.gov.uk
Daniel John	Head of Environmental Infrastructure	djohn@carmarthenshire.gov.uk
Chris Harrison	Head of Strategic Joint Commissioning (Pembrokeshire and Carmarthenshire)	Chris.harrison@pembrokeshire.gov.uk
Linda Rees Jones	Head of Admin & Legal	lrjones@carmarthenshire.gov.uk
Paul R Thomas	Assistant Chief Executive	prthomas@carmarthenshire.gov.uk
Rhodri Griffiths	Head of Place and Sustainability	RDGriffiths@carmarthenshire.gov.uk
Helen Pugh	Head of Revenues and Financial Compliance	HLPugh@carmarthenshire.gov.uk
Report Author: Robert James	Strategic Performance Manager	RNJames@Carmarthenshire.gov.uk

GOVERNANCE & AUDIT COMMITTEE

15 DECEMBER 2023

Progress on Regulatory Report Recommendations

SUMMARY OF PURPOSE OF REPORT.

1. The Council monitors regulatory report recommendations on its Performance Information Monitoring System (PIMS).
2. Contained within the report are:
 - on-going recommendations from past reports that are still active.
 - recommendations that have been completed and closed during the last year.
3. There are two main types of report:
 - National reports. Any recommendations relevant to Carmarthenshire and a Council response prepared will be monitored for progress on PIMS.
 - Local reports specific to Carmarthenshire County Council.
4. Some regulatory report recommendations have been summarised for the purposes of this report. The original full recommendations can be viewed in the original reports which have previously been reported to Governance & Audit Committee or available on the Audit Wales website.

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: Jason Jones, Head of Regeneration, Policy and Digital

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
YES	YES	NONE	NONE	NONE	NONE	NONE

1. Policy, Crime & Disorder and Equalities

Item 6 of the terms of reference of the Governance and Audit Committee requires oversight of the Authority's external audit arrangements, including:

- monitoring the adequacy and effectiveness of the External Audit service and to respond to its findings;
- discuss with the external auditor the nature and scope of the audit of the County Council's services & functions, and consider the external audit fee and terms of engagement;
- receiving and considering external audit reports and management letters and advising Full Council as appropriate;
- monitoring Management's response to the external auditor's findings and the implementation of the external auditor's recommendations (*the purpose of this report*)

2. Legal

The Local Government Act (Wales) 2011 – Statutory Guidance identifies that Audit Committee should:

- receive the reports from external auditors and follow up their recommendations for the year.

CABINET MEMBER PORTFOLIO HOLDER(S) AWARE/CONSULTED	YES	
Section 100D Local Government Act, 1972 – Access to Information		
List of Background Papers used in the preparation of this report:		
Title of Document	File Ref No.	Locations that the papers are available for public inspection
Audit Committee Effectiveness - Carmarthenshire County Council - July 2018.		Link
Statutory Guidance from the Local Government Measure 2011 Chapter 9		Link